

The background of the cover features a microscopic view of bacteria. At the top, two spherical, textured bacteria are visible against a blurred background. The bottom portion of the cover shows a larger, more detailed view of several interconnected spherical bacteria, likely representing a chain or cluster of streptococci or staphylococci.

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# Staphylococcus and Streptococcus

*Edited by Sahra Kirmusaoglu*





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*Edited by Sahra Kirmusaoglu*

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Staphylococcus and Streptococcus  
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Edited by Sahra Kırmusaoğlu

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# Preface

*Staphylococcus* spp. and *Streptococcus* spp. have not only got pathogenic isolates, but also non-pathogenic isolates. *Staphylococcus* spp. and *Streptococcus* spp. that are Gram positive cocci are the main pathogens in several infections. Virulence factors such as usual and unusual surface proteins encoded by resistance genes are the main causes of pathogenesis. Multidrug-resistant pathogens that are the main causes of morbidity and mortality worldwide have the ability to synthesize a number of destructive enzymes encoded by resistance genes such as  $\beta$ -lactamases. Resistant pathogens such as methicillin-resistant *Staphylococcus aureus* (MRSA), *Streptococcus pneumoniae*, Group A, and Group B *Streptococcus* have emerged throughout the world. To eliminate these resistant pathogens that cause untreatable, acute, and chronic infections, different new antimicrobials must be developed and used.

This book contains seven chapters from valued experts in Turkey, Argentina, China, Australia, Chile, India, and Saudi Arabia. The goal of this book is to provide information on the topics mentioned. The book will be useful for researchers interested in the study of staphylococcus and streptococcus, and antimicrobial resistance of staphylococcus and streptococcus.

I would like to thank all the authors who contributed to this book with their chapters. I would also like to thank Mr. Josip Knapic who assisted me in this project as the Author Service Manager and IntechOpen Publisher for their concern and encouragement in publishing this book.

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Section 1

Virulence Factors and  
Pathogenesis

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# Introductory Chapter: An Overview of the Genus *Staphylococcus* and *Streptococcus*

*Anıl Cebeci and Sahra Kırmusaoğlu*

## 1. Introduction

We live in a world that inhibits many life forms including microorganisms. Bacteria, an important member of these microorganisms, sometimes become a very tough enemy of the human being with the stimulation of the conditions and environment. Bacterial infections that are sourced from pathogenic bacteria are one of the leading causes of the human death worldwide. For instance, lower respiratory infections killed 3 million people globally in 2016 [1].

Among the most pathogenic bacteria for human, genera of *Staphylococcus* and *Streptococcus* come forward with their pathogenicity. Staphylococci and Streptococci are grouped as Gram-positive cocci. Staphylococci form clumps, whereas Streptococci grow in chains. They can be discriminated by catalase test because Staphylococci have the capability to produce catalase [2].

Staphylococci and Streptococci are together responsible of the pathogenesis of a variety of diseases such as wound infections, mastitis, toxic shock syndrome, scarlet fever, cystic fibrosis, nosocomial and community-acquired infections, periodontitis, and indwelling device-associated infections [3, 4].

## 2. Virulence factors of *Staphylococcus* spp. and *Streptococcus* spp.

### 2.1 Toxins

As a major human pathogenic bacterium, *Staphylococcus aureus* (*S. aureus*) has many virulence factors including staphylocoagulase (SC), protein A, staphylococcal enterotoxins (SEs), and leukotoxins.

SC is the cause of acute bacterial endocarditis. It binds to the blood prothrombin, and this complex induces the number and the colonization of bacteria via transformation of fibrinogen to fibrin [5]. Protein A is the vehicle of *S. aureus* for binding to Fc region of IgG. By this mechanism, pathogenic bacterium is surrounded by IgG and will not be recognized by the cells of human immunity system [6].

SEs belong to a huge family of staphylococcal and streptococcal exotoxins and are shown to be the main cause of toxic shock syndrome. These superantigens show their pathogenicity via binding to class II major histocompatibility complex (MHC) molecules that are located on the surface of the antigen-presenting cells and causing a toxic shock with stimulation of high numbers of T cells [7].

Additionally, leukotoxins of *S. aureus* can eliminate phagocytic cells of the mammalian immune system by killing them selectively [8].

Although they are the hosts of the mucosal surfaces of human, streptococci are associated with many infectious diseases like tonsillitis, endocarditis, pharyngitis, meningitis, and glomerulonephritis [9]. Group A streptococcus (GAS) which is known as the main cause of necrotizing fasciitis produce streptococcal pyrogenic exotoxins (Spe). Streptococcal toxic shock syndrome (STSS) is associated with various Spe proteins, including SpeA, SpeS and SpeG, streptococcal superantigen A (SSA), and streptococcal mitogenic exotoxin Z (SMEZ) and SMEZ2. As mentioned before, these superantigens induce T cells to proliferate massively and cause abnormal production of cytokines [10].

Hemolysin from *Streptococcus pyogenes* (*S. pyogenes*) and its equivalent, pneumolysin, from *S. pneumoniae*, not only form pores but also activate the inflammatory system elements. Again, streptolysin O (SLO) and streptolysin S (SLS) belong to this superantigen family [10].

## 2.2 Biofilm formation

Bacterial biofilm is the optimum environment for bacteria to survive. More than a nutrient pool, biofilm provides protection for its residents against harsh conditions, antibiotics, and other antimicrobial chemical agents. From the vision of indwelling device-associated infections and nosocomial and community-acquired infections, *Staphylococcus epidermidis* (*S. epidermidis*) and *S. aureus* are the main responsible organisms with the ability of forming biofilm [11].

*S. aureus* strains can bear the *ica* operon that express polysaccharide intracellular adhesin (PIA) which is termed as the main molecule in biofilm formation [12, 13]. With its positively charged molecular structure, PIA enhances the intercellular binding of negatively charged bacterial surface [14].

However, the biofilm can be structured without the adhesive effect of PIA. PIA-independent biofilms may be formed by different surface proteins such as biofilm-associated protein (Bap) and accumulation-associated proteins (Aap) of *S. epidermidis* [12, 13]. More than that, protein A and some members of the fibronectin-binding proteins, cell wall-anchored proteins, and autolysins can help the formation of biofilm [14].

## 3. Antibiotic resistance of *Staphylococcus* and *Streptococcus*

Antibiotic resistance becomes a worldwide serious health threat due to the inappropriate prescribing, overuse, and the extensive agricultural use of antibiotics [15]. *S. aureus*, a well-known pathogenic bacterium, exhibits resistance to the some of the important and widely used antibiotics such as  $\beta$ -lactam antibiotics, tetracycline, and methicillin through its genome and extrachromosomal elements [16].

The genetic elements of *S. aureus* play a critical role in antibiotic resistance. *S. aureus* can hydrolyse the  $\beta$ -lactam ring of penicillin by using the penicillinase which is expressed from the *blaZ* gene localized in *S. aureus* plasmid. This emerging feature can be observed more than 80 percent of the *S. aureus* strains [17].

Another example of plasmid-originated antibiotic resistance can be observed for tetracycline in *S. aureus*. *Tet* gene in conjugative plasmid of *S. aureus* encodes ribosomal protection protein which prevents the binding of tetracycline to ribosome and thus the inactivation of it [18].

The occurrence of *S. aureus* isolates that are resistant to  $\beta$ -lactam antibiotics provide the discovery of penicillinase-stable penicillins. One of these molecules, methicillin, is the medication of choice to treat  $\beta$ -lactam-resistant *S. aureus* infections. But some of the *S. aureus* isolates are also resistant to methicillin and different types

of antibiotics such as macrolides and cephalosporins. Methicillin-resistant *S. aureus* (MRSA) isolates demonstrate their characteristics of multi-antibiotic resistance through their chromosomes and extrachromosomal elements. Penicillin binding protein 2a which is encoded by chromosomal *mecA* gene is the main reason of methicillin resistance of *S. aureus* [19, 20].

Enterococci are the members of the microflora of the intestinal system. But they are also opportunistic and nosocomial pathogens. Especially, they are accused of sepsis, endocarditis, and urinary tract infections in immunosuppressed patients [21].

These pathogens demonstrate a different feature compared to other Gram-positive cocci: adaptation to different antimicrobials such as vancomycin quickly and exhibition of multidrug resistance [21].

Vancomycin is a member of glycopeptide antibiotics. It targets the precursors of peptidoglycan, while this system is crucial for the enterococcal cell wall biosynthesis. By binding to these precursors and preventing the transglycosylation and transpeptidation, vancomycin prevents the building and growing of bacterial cell wall [22]. But enterococci overcome this destructive problem with different *van* operon systems, for instance, *vanA*, *vanB*, *vanC*, and *vanD*. The common feature of these systems is to alter the peptidoglycan biosynthesis pathway with different molecules so that vancomycin cannot identify the molecule thus cannot interfere [22].


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# Virulence Factors of *Streptococcus mutans* Related to Dental Caries

*Bojanich María Alejandra and Orlietti Mariano Daniel*

## Abstract

*Streptococcus mutans* (*S. mutans*) has important virulence factors related to the etiology and pathogenesis of dental caries. Through mechanism of adhesion to a solid surface, *S. mutans* is able to colonize the oral cavity and form dental biofilm, which is composed of a matrix of exopolysaccharides that affect the physical and biochemical structure of the biofilm. The additional properties that allow *S. mutans* to colonize the oral cavity include the generation of acid (acidogenicity), the interaction with other bacterial species colonizing this ecosystem and the ability to survive in an acidic environment. In addition, these microorganisms can tolerate the acidic environment (aciduricity) due, at least in part, to the ATPases located in the plasma membrane responsible for the extrusion of the cytoplasmic proton. Changes in environmental pH can modify the fatty acid and proteins composition of the plasma membrane of *S. mutans*, inducing the alteration of its permeability. The different dental surfaces or biofilms can affect the lipid composition of the bacterial membrane by altering the virulence factors of *S. mutans*, such as acid survival and ATPase activity.

**Keywords:** *Streptococcus mutans*, virulence factors, biofilms, membrane fatty acid, ATPases

## 1. Introduction

In the oral cavity, on the teeth surface, organic and inorganic substances coming from the saliva, the gingivocrevicular fluid and microorganisms accumulate. These deposits are called integuments acquired from the enamel and are related to the appearance of the most prevalent diseases of the oral cavity, such as dental caries and periodontal disease. Therefore, it is necessary to understand the chemical composition and odontopathogenic power of these acquired integuments, particularly the role played by *S. mutans* in the oral cavity, since this microorganism is considered one of the most common agents in the development of dental caries in humans [1]. One of the characteristics that contributes to the pathogenicity of this microorganism is its ability to metabolize fermentable sugars (sucrose) and consequently the production of various organic acids, which lower the pH of the oral medium. Over time, the low pH of the medium produces the demineralization of the dental element, hence the development of dental caries. *S. mutans* has diverse virulence factors such as acidogenesis, acid survival and proton ATPase activity (related to the lipid and protein components of its membrane), among others. This enables it to survive in low pH environments and provides it survival advantages over other common bacteria in the oral ecosystem [2].

## 2. Acquired integuments from enamel

The acquired structures of enamel include following structures:

- Acquired enamel pellicle (AEP)
- Dental biofilm (DB)
- Dental calculations or dental tartar

In this chapter, the acquired enamel pellicle and dental biofilm will be specifically explained.

## 3. Acquired enamel pellicle

Saliva is almost never in direct contact with the surface of the tooth, since there is a separation between them provided by a heterogeneous coating: the AEP.

It is an acellular organic 0.1–10  $\mu\text{m}$  thick pellicle, totally free of microorganisms. The AEP forms on the surfaces of the teeth by selective adsorption of proteins, peptides and other molecules present in saliva and gingivocrevicular fluid, as well as others from bacteria and desquamated epithelial cells [3, 4].

### 3.1 Chemical composition of the acquired enamel pellicle

AEP has a very complex and heterogeneous chemical composition. The chemical composition of this integument plays an important role in the formation of dental biofilm, since some of its biomolecules act as receptors that enable the adherence of oral microorganisms.

The following proteins constitute the AEP: proline-rich acidic proteins (PRPs), statins, high molecular weight mucins, cystatins, histatins, amylase, lactoferrin, lactoperoxidase and secretory immunoglobulin A (IgAs). Also to a lesser extent, serum albumin, carbonic anhydrase, IgG, IgM, various complement fractions and enzymes such as glycosyltransferases of microbial origin. The existence of peptides, a product of the partial proteolysis of salivary proteins, has also been demonstrated.

Regarding the carbohydrates that compose it, there are mainly glucose, galactose, hexuronic acid and amino sugars such as glucosamine and galactosamine. Other glucides, such as sialic acid, are present in the AEP. Carbohydrates are involved in the process of colonization of the pellicle, because many of the adhesins found on the surface of microorganisms bind to carbohydrates located in the AEP.

Other representative biomolecules in this integument are lipids such as glycolipids, acylglycerols, cholesterol, phospholipids and free fatty acids.

The hydrophobic character of the lipids averts the demineralization of the enamel by preventing the diffusion of the acids originated by the metabolism of the microorganisms present in the biofilm, together with its capacity to modulate the colonization of microorganisms of the AEP.

On the other hand, the chemical composition of the AEP varies depending on the type of surface on which it was formed (different faces and regions of the tooth, restoration materials, prosthesis or orthodontic appliances). For example, the chemical composition of the one formed on the root cement differs considerably from the one formed on the enamel, due to the special chemical and structural characteristics of both tissues and the higher proportion of proteins provided by the gingivocrevicular fluid on the cement.



The AEP is formed within minutes and modified later by enzymes, which is coming from the saliva, bacteria, desquamated epithelial cells and polymorphonuclear leukocytes (transported by the gingivocrevicular fluid).

In this way, various components adsorbed at first to the enamel are rapidly degraded, which is the reason why they cannot be found in the mature integument. The most susceptible to enzymatic degradation proteins are those rich in proline, statins and histatins, whereas cystatins, amylase and other proline-rich proteins are more resistant [3, 4].

### **3.2 Acquired enamel pellicle training process**

The formation of this integument is given by the combination of ionic, hydrophobic, hydrogen bridge and van der Waals attractions that are established between the buccal surfaces (dental enamel, cement, mucous membranes, etc.) and the organic components suspended in the saliva.

The enamel or adamantine substance is made up of 96% mineral salts of hydroxyapatite (HA) crystals. The negative charge (phosphate groups) of the HA crystals attracts the ionic calcium present in saliva ( $Ca^{++}$ ). The amino acids with anionic side chains, which form part of the salivary proteins, establish electrostatic bonds with calcium. The amino acids with cationic side chains interact directly with the phosphate groups of the HA through ionic bonds and in this way the proteins are adsorbed to it.

The formation of AEP occurs in two stages. In the initial stage, the protein cover increases three times its thickness, mainly proline-rich proteins are added forming globular structures (from 20 to 300 nm arranged in the form of clusters), which are afterwards fused, forming long units that cover the whole enamel. In the second stage, by action of proteolytic enzymes the molecular conformation of the pellicle is altered and the globular structure gets lost. The first phase is quantitatively the most important, while the second one, which corresponds to the process of maturation of the integument, has functional importance in relation to the bacterial colonization [3, 4].

### **3.3 Acquired enamel pellicle functions**

AEP has different functions, among which are:

- Protective barrier of enamel from acidic substances from the diet or formed during bacterial metabolism. Therefore, it prevents demineralization and acid erosion.
- Promotion of the enamel remineralization process. Constituent proteins of the acquired film such as staterins and proline-rich proteins favor the stabilization of calcium and phosphate ions under supersaturation conditions. These participate in the maturation of the enamel after the eruption of the teeth (posteruptive maturation).
- Reduction of tooth friction forces developed during chewing.
- Prevention of the drying of the surfaces it covers, due to the presence of mucoproteins that are capable of retaining water.

The AEP also provides sites for the adhesion of oral microorganisms, giving rise to the formation of the dental biofilm. In addition, lactoferrin (another protein present in the film) fixes iron, essential for bacterial metabolism. As counterpart,

has been observed that certain proteins and enzymes present in the AEP affect the development of the biofilm bacteria. The presence of lysozyme in the pellicle destabilizes the bacterial wall producing cell lysis and lactoperoxidase forms compounds that inhibit the metabolism of glucose in bacteria [3, 4].

#### 4. Dental biofilm

The DB is a dense bacterial mass, constituted by different types of microorganisms organized in a coccoid, filamentous or bacillary form, embedded in an inter-microbial matrix that accumulates on the structures of the tooth. It is metabolically active, organized and potentially pathogenic. Inside the DB a continuous reorganization and bacterial succession take place. Therefore, this organized bacterial complex survives the challenges of a constantly changing environment.

It is of utmost importance to understand the dental biofilm as an ecosystem, where a complex of bacteria and their products are embedded in an abiotic extracellular material of bacterial, salivary and dietary origin.

70% of the DB consists of microorganisms. The remaining 30% is made up of organic and inorganic components. Water represents 80% (it allows the dissolution of minerals and nutrients within the environment), proteins 40%, carbohydrates (CH) between 13 and 18%. Glucose is the principal CH, which can be found in two forms: extra and intracellular polysaccharides. The proteins of the biofilm come from bacteria, gingivocrevicular fluid and saliva. The main proteins are amylase, lysozyme, albumin and immunoglobulins IgA and IgG.

The architecture of the dentobacterial deposits is an important factor both for the regulation of microbial physiology and for the ecology of the place. The microbial behavior depends on the thickness of the deposit, its density, its ratio of bacterial cells/organic matrix and the presence of channels in this structure [5].

##### 4.1 Factors that influence the development of dental biofilm

In the development of DB, various factors are involved:

- Anatomy, position and structure of the teeth: bacteria colonize different types of dental surfaces because they have fimbriae, exopolysaccharides and hydrophobic. All these properties are implicated in its capacity to adhere to the surface.
- Bacterial metabolism: aerobic or anaerobic.
- Presence and quantity of bacterial nutrients.
- Composition of saliva and gingivocrevicular fluid: pH, ions, temperature and fluidity favor the production of biofilm on the tooth surface.
- Host diet: fermentable carbohydrates (cariogenic), consistency, frequency and speed of sweep of the oral cavity.
- Oral hygiene maintenance.

##### 4.2 Structure of dental biofilm

The three-dimensional character of the bacteria is reflected in the structure of the DB. It may be: (a) immature: the one that takes place after a few hours of formation or (b) mature: the one that takes place several days after its appearance, which is considered

metabolically active and organized. The accumulation of bacteria on the surface of the tooth is a result of the balance between adhesion, growth and removal of bacteria. It reaches a saturation point where it is no longer possible to increase its volume. Inside the DB structure there is reorganization and bacterial succession continuously [5].

### 4.3 Supragingival dental biofilm

The supragingival dental biofilm is located from the gingival margin to the dental crown. Gram-positive microorganisms predominate, mainly *Streptococcus* spp., among them the most abundant are *S. sanguis*, *S. sobrinus* and especially *S. mutans*. *Actinomyces* sp., such as *A. viscosus*, *A. naeslundii* and *A. israelii* as well as other varieties. Among the Gram-negative bacteria anaerobic such as *Fusobacterium*, *Prevotella* and *Porphyromonas* predominate [6].

### 4.4 Dental biofilm formation process

The process of forming the dental biofilm is characterized by a series of ordered stages:

1. Bacterial transport to the acquired film: almost simultaneously with the formation of the acquired film, microorganisms proceeding from the salivary flow, tongue, desquamated cells of the mucosa (bacteria adhered to aforesaid cells) and other microorganisms with inherent mobility capacity found in the oral environment start to adhere to the dental surface. Between zero and 4 hours after correct oral hygiene, few bacteria are observed on the surface of the teeth.
2. Primary colonization: later interactions between bacterial proteins (adhesins) and specific receptors of the acquired film allow the irreversible adhesion of the first colonizing microorganisms. Gram-positive and Gram-negative bacteria predominate, including coccoid and filamentous forms. Diverse ionic and electrostatic interactions, covalent bonds as well as other molecular interactions are established. Probably one of the most important adhesive mechanisms is mediated by glucans (extracellular polysaccharides synthesized by bacteria). This allows bacteria not only to adhere to the surface but also to add to each other.

Adherent microcolonies are originated by a mucosal layer surrounding several cells. At this stage the DB is still very thin; *Streptococcus sanguis* is probably the first colonizer. The metabolism is aerobic and the microbial nutrition comes from the salivary glycoproteins and sugars in the diet. Other primary colonizers are *Streptococcus mitis*, *Streptococcus oralis*, *Actinomyces naeslundii*, and to a lesser extent other bacteria, most of them aerobic and facultative anaerobes.

3. Coadhesion phenomenon (secondary and tertiary colonization): it takes place between the primary and late colonizers and is characterized by an active multiplication of bacteria, aggregation (taxonomically related bacteria) and coaggregation (bacteria that have little to do from the taxonomic point of view). Interactions are mediated between adhesins, lectins, and specific receptors, which increases the complexity of the microbial mass. Diverse phenomena occur, leading to qualitative changes in the ecosystem, such as competition for nutrients, production of H<sub>2</sub>O<sub>2</sub>, release of bacteriocins, oxygen consumption and others. The extracellular polymers constitute the matrix that surrounds the bacteria, which guarantees their firm adhesion. Among bacteria there are numerous water channels that allow the diffusion of nutrients and elimination of metabolic waste.

4. Detachment of bacteria: the attached bacteria can be released in response to changes that occur in the environment (e.g. pH, concentration of specific ions, etc.). The production of inhibitory substances against other bacteria can also influence this phenomenon. These changes lead also to a competition for nutrients and the creation of unfavorable conditions for the growth of certain bacterial species [7].

In the already formed DB, channels or open spaces that go from the outside to the surface of the enamel are observed, which allow the penetration and distribution of molecules in its interior. The bacterial metabolism guarantees the right environment for the growth of bacteria (pH and adequate amount of oxygen). Some of them split polymers into smaller units, others are able to reduce sulfates, and others obtain energy from simple metabolic products.

The surface of the tooth is an indispensable natural habitat for *S. mutans*, since this organism cannot be detected in the mouth until the eruption of the teeth and disappears shortly after the loss of the teeth. *S. mutans* is able to form DB through a series of mechanisms such as the expression of the SpaP surface adhesin and the ability to synthesize insoluble extracellular polysaccharides that improve its accumulation in the tooth [8].

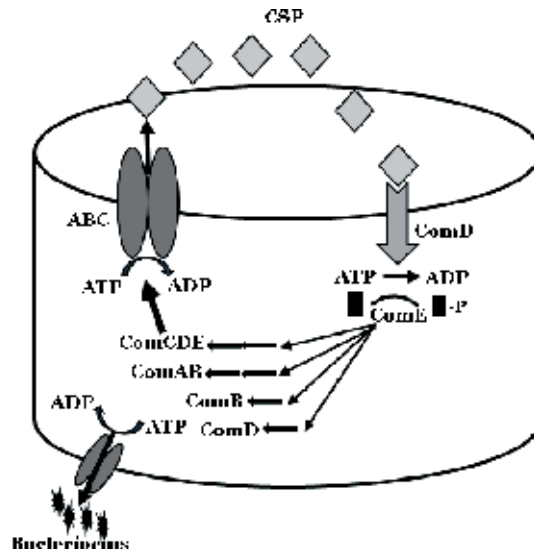
Within biofilms, bacteria have developed their own signals or communication systems through small molecules which allows them to survive in unfavorable and densely populated environments. In addition, this signal system favors the expression of genes associated with the caries or with the acid tolerance of the environment in which they develop. The transfer of genetic information, explaining the antibiotic resistance among native microbiota species, has also been described. Bacteria regulate these physiological processes through a mechanism called quorum sensing (QS), defined as a bacterial mechanism of intercellular communication to control the expression of genes in response to population density. Due to a particular environmental stimulus, bacterial cells can communicate and behave collectively to provide significant benefits in host colonization, defense against competitors and adaptation to different physical variants [8].

The QS system consists generally of three components: (a) a signal peptide and (b) a double regulatory system (DRS), which in turn has a (c) histidine kinase (HK) sensor attached to the membrane and an intracellular response regulator (RR). *S. mutans* is a bacterium that has evolved into a “lifestyle” biofilm in order to survive and persist in its natural environment. The Quorum detection system, essential for genetic competence in *S. mutans* (cell–cell signaling system), involves at least five genetic products encoded by *cslAB* (*comAB*) and *comCDE* [9].

The genes *comC*, *comD*, and *comE* are adjacent within the chromosome and constitute a peptide signaling system (PSS) including a generation pathway and a response pathway, respectively. The *comC* and *comDE* genes encode, respectively, a peptide precursor of the PSS, the sensor protein (HK) and an intracellular response regulator (RR) [9].

The other two genes, *cslA* and *cslB*, are located in a separate region of the chromosome and encode a CSP-specific secretion apparatus consisting of an ATP-binding cassette transporter (*ComA*) and an accessory protein to *ComA* (*ComB*), which presumably participate in the processing and export of the CSP. *ComE* is responsible for the regulatory response of the QS system producing activities dependent on cell density (bacteriocins) (**Figure 1**).

This quorum sensing system works optimally when cells live actively in the growing dental biofilm, suggesting that cell–cell signaling is the system that could play a role in the formation of *S. mutans* biofilms [9, 10].



**Figure 1.** Scheme of the quorum detection system ComCDE and its genes regulated in *S. mutans*. The ComCDE encodes a signal peptide precursor, which is exported through a specific ABC transporter and generates a competing stimulating peptide (CSP). The ComCDE encodes a component transduction system that specifically detects and responds to CSP. When it reaches a critical concentration CSP interacts with the ComD receptor protein and activates its ComE response regulator. The phosphorylated ComE, in turn, activates the genes downstream, which triggers the signaling cascade for the production of activities dependent on cell density (bacteriocins).

#### 4.5 Cariogenicity of dental biofilm

The DB of the oral surfaces establishes a dynamic balance with the host's defenses, compatible with the maintenance of the integrity of the tissues. Oral diseases occur when the composition and metabolic activities of dental biofilm communities are disturbed by the pH, oxygen tension and nutrients of the environment. These ecological changes in the DB result in an increase in the proportion of pathogenic microorganisms, which have more virulent structural and enzymatic determinants than those of microorganisms related to healthy conditions. Caries is a disease associated with the supragingival dental biofilm. It is produced by the action of acidogenic bacteria, which degrade fermentable carbohydrates of the diet and produce acids as result of their metabolism [11].

The cariogenicity of the dental biofilm increases with the retention of bacterial nutrients and the greater permanence of organic acids in it. The structure of the dental biofilm allows these acids to be longer in contact with the tooth surface, causing the demineralization process [12].

*S. mutans* has important properties that enable it to predominate in the dental biofilm and induce the development of caries. These properties are known as virulence factors and provide the microorganism with survival advantages over other bacteria common to the oral ecosystem [13].

Among these cariogenicity factors are:

**Acidogenic:** production of acid (mainly lactic acid) through the fermentation of refined sugars such as sucrose and glucose. This causes the environmental pH to drop to values of 5.5 or 4.5, pH called critical, which initiate the demineralization of tooth enamel.

**Aciduric:** factor that refers to the capacity of the microorganism to continue lowering the pH in acidic conditions.

**Acidophilic:** ability to grow and survive in acid pH. Not all bacteria resist these conditions. This is a fundamental domain element in the dental biofilm.

**Synthesis of intracellular polysaccharides:** these are homopolysaccharides and have a major role as nutritional reserve.

**Synthesis of extracellular polysaccharides:** permit the synthesis of a mucous layer constituted by polysaccharides, provided a high availability of sugars in the medium, such as water, soluble glycans (dextrans), hydroinsoluble glycans (mutans) and water-soluble fructans (levans).

**Post-short pH effect:** despite rapid decreases in ambient pH, a rapid physiological recovery of the microorganism occurs. This mechanism prevents the entry of new molecules of sucrose by activating pyruvate kinase or generating alkaline products resulting from protein catabolism.

**Synthesis of cell adhesion proteins:** antigenic proteins found in the wall of *S. mutans* and initiate adhesion to the tooth surface. They may have different functions depending on the region of the protein in question: aggregation (hydrophobic amino terminal region), and adhesion (amino terminal region rich in alanine). On the other hand, they can also bind to collagen in the dentinal tubules, an important property in the development of root caries. This suggests that these molecules possess several receptors, which interact with the secretory component of IgAs, albumin, agglutinins and salivary glycoproteins.

**Glucan receptor proteins:** these are extracellular products of bacteria which associate or bind glucans in the presence of sucrose and therefore are involved in the formation processes of the dental biofilm. All glucan binding proteins show affinity for glycans rich in  $\alpha$ -1.6 glycosidic bonds.

**Mutacin production:** also called bacteriocins, are substances of peptide nature with antimicrobial activity. *S. mutans* through these molecules can eliminate other bacterial species from the dental biofilm, giving this microorganism an ecological advantage for colonization.

Each of these properties acts in coordination to alter the ecology of the dental biofilm by increasing the proportions of *S. mutans* over other acidogenic and acid-resistant species in the environment [13].

In addition, the ability of *S. mutans* to use sucrose to promote its adhesion and accumulation in the dental biofilm is very significant. This provides the microorganism a pathogenic potential if the physiology of the host and the general ecology of the oral microbiota allow it.

#### 4.6 Biochemistry of the dental biofilm

Bacteria possess the ability to metabolize a large amount of organic and inorganic compounds. Said metabolism has as its purpose the supply of carbon and energy necessary for its growth and reproduction.

In the absence of oxygen, *S. mutans* metabolizes glucose giving as final product lactic acid. Depending on the metabolic conditions, it can also synthesize glycogen for energy reserves and, in the event of a sucrose deficit, degrade it to obtain energy.

The fermentable carbohydrates of the diet are the main source of energy for most of the bacteria present in the dental biofilm. The association of the consumption of sugars with dental caries depends on the bioavailability and the structural characteristics of the DB [5].

#### 4.7 Extracellular cleavage of sucrose

Sucrose is a low molecular weight disaccharide, soluble in water, easy to diffuse through the biofilm and converted into organic acids by bacteria such as *S. mutans* and *Lactobacillus* sp.

For their splitting, these bacteria produce and secrete a family of enzymes called glucosyltransferases (Gtfs), which hydrolyze sucrose into glucose and fructose. These enzymes take the glucose molecule and bind it to a pre-existing glucose chain. In this way, the chain lengthens and gives rise to the extracellular polysaccharides (glucans).

*S. mutans* produces at least three genetically different Gtfs, each of which synthesizes a glucan structurally different from sucrose. GtfB synthesizes mainly insoluble glucan rich in  $\alpha$ -1.3 glycosidic bonds. GtfC produces a mixture of solubles (with mostly  $\alpha$ -1.6 bonds) and insoluble glycans, and GtfD predominantly forms soluble glucans. Each one plays a different role in the formation of the dental biofilm [5].

GtfC adsorbed to the enamel within the biofilm, while GtfB binds avidly to the bacteria, promotes cell clustering and improves the cohesion of the biofilm. GtfD, on the other hand, forms a soluble polysaccharide, easily metabolizable and acts as a primer for GtfB.

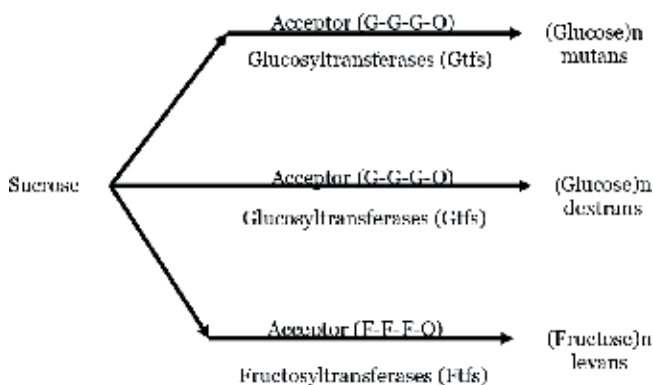
When the enzymes involved in the sucrose break are the fructosyltransferases (Ftfs), the final product are extracellular polysaccharides of the levans type: water solubles fructans, glycosidic bond type:  $\alpha$ -2.6 and  $\alpha$ -1.2 (**Figure 2**).

Soluble glucans and fructans have a nutritional function in the absence of exogenous carbohydrate intake. This is because they are easily degradable by enzymes such as glucanases and fructanases. On the other side, insoluble glucans are difficult degraded by bacteria and have greater adherent properties, intervening in the so-called glucan-mediated unions; important for the formation of the dental biofilm in which the Gtfs themselves and host receptors also participate [5].

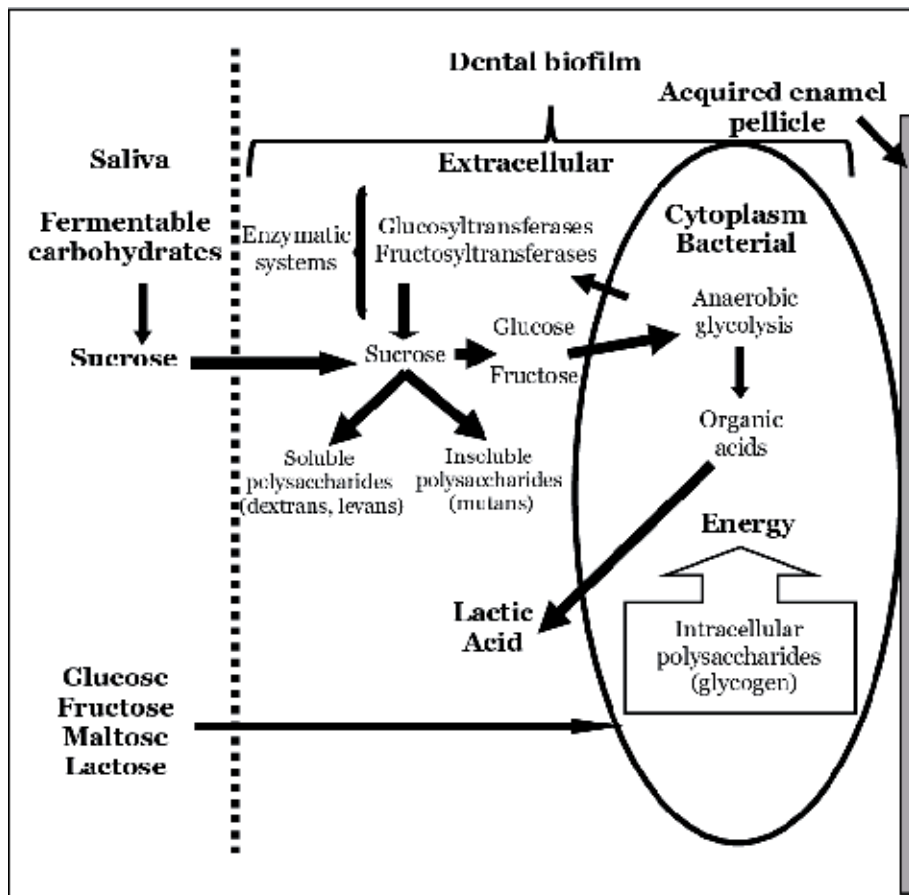
The organic acids resulting from the bacterial metabolism of fermentable carbohydrates are, in addition to lactic, acetic, butyric and carboxylic. Lactic acid produces the most notorious changes; since the greater extracellular concentration of it, the more accentuated is the pH drop of the dental biofilm, up to the critical level of dissolution of hydroxyapatite (inorganic enamel component) which is around 5.5 (**Figure 3**).

#### 4.8 Acid survival of *Streptococcus mutans* in the dental biofilm

The microorganisms involved in the caries must be able not only to grow, but must possess the ability to survive in acidic environments.



**Figure 2.** Enzymatic cleavage of sucrose. Glucosyltransferases (Gtfs) hydrolyze sucrose into glucose and fructose. These enzymes take the glucose molecule and bind it to a pre-existing glucose chain [acceptor (GGGO)]. The chain lengthens and gives rise to extracellular polysaccharides (mutans and dextrans). Fructosyltransferases (Ftfs) hydrolyze sucrose, take the fructose molecule and bind it to a pre-existing fructose chain [acceptor (FFFO)] and the final product is the levans polysaccharides.



**Figure 3.**

Schematic representation of bacterial metabolic activity in the dental biofilm. Metabolism of various carbohydrates (including glucose and fructose) by bacterial biofilm. Production and secretion of a significant amount of lactic acid, which can cause demineralization of teeth structure that can finally result in the development of decay.

*S. mutans* and *S. sobrinus* have a higher average acid production rate compared to other oral streptococci such as *S. mitis*, *S. oralis*, *S. gordonii*, *S. sanguis*, *S. intermedius*, *S. anginosus*, *S. constellatus* and *S. vestibularis* [14].

There is a different acidification capacity of the *S. mutans* medium as it comes from different carious dental surfaces: either a carious smooth tooth surfaces (CSTS) or a carious occlusal tooth surfaces (COTS).

The strains developed in CSTS possess greater cariogenic capacity, probably induced by the different ecosystem developed in the smooth surface decayed, showing the particular importance of it [15].

The acidogenic power (virulence factor) of *S. mutans* can lead to ecological changes in the microbiota of the dental biofilm, which includes an increase in the proportion of acid-producing *S. mutans* to the environment and the decrease in the microbiota sensitive to said acidity (*Streptococcus mitis*, *oralis* and *sanguis*).

Accompanying its acidogenic power, *S. mutans* possesses the characteristic of acid tolerance. It retains its ability to survive even at pH levels that are inhibitory for some bacterial species (pH 4.4), this being a distinctive feature of this species [14].

In CSTS, *S. mutans* is more acidogenic and has greater resistance and survival to the acidity of the medium, therefore these microorganisms developed in CSTS have greater cariogenic capacity compared with those developed in COTS [15].



One of the strategies for increasing the acid survival of *S. mutans* in CSTS is to change the fatty acid profile of its membrane from saturated and short chains to monounsaturated and long chains at pH 5. These changes induce an increase in the interrelation of the acidic chains in the lipid bilayer, which allows correlate it with the increase of its stability (greater rigidity) [15].

## 5. Activity of the F-ATPase and P-ATPase of *Streptococcus mutans* membrane

F-ATPase and P-ATPase are present in the membrane of *S. mutans* to maintain intracellular homeostasis. These constitutive enzymes represent ATPases with proton pump function. The induction of proton pumps in acidic environments and the consequent expulsion of protons from the cell to the exterior helps to maintain a high cytoplasmic pH in comparison to its environment. The activity of these enzymes is very important for the acid tolerance of microorganisms such as *S. mutans*, in such a way that the optimum pH of the F-ATPases are directly related to the capacity of the microorganism to survive in acidic conditions (pH 5) [15].

In addition to the F-ATPases, there is a 100-kDa membrane protein called P-ATPase that can maintain a cytosolic pH close to neutrality during the growth of the microorganism. This is a different protein from F-ATPase, due to its sensitivity to orthovanadate and lansoprazole [16].

In the intracellular metabolism of *S. mutans*, protons from the anaerobic glycolytic pathway acidify the cytoplasm, but glycolytic enzymes as well as other cellular functions, are sensitive to inhibition by intracellular acidity. Therefore, the function of the F- and P-ATPase is to translocate of protons to the outside and to maintain a pH gradient across the cytoplasmic membrane compatible with life.

The increase of the activity of the F-ATPase and the membrane P-ATPase of microorganisms developed in acid environment indicates that the enzymatic activity is one of the main mechanisms of the acid tolerance for oral streptococci [16].

*S. sobrinus* has a higher acid resistance than *S. mutans*; the differences in the mechanisms of acid tolerance between the two microorganisms are due to the fact that *S. sobrinus* is genetically tolerant acid [15].

As mentioned above, in the plasma membrane there are integral or transmembrane proteins (F-ATPase and P-ATPase). The interactions between this type of membrane proteins and the surrounding lipid environment are important to determine its structure and function.

The integral proteins of the lipid bilayer require that the hydrophobic transmembrane region of the protein matches the hydrophobic region of the lipids, in order to avoid an unfavorable energetic contact in said regions. When there are no coincidences in the hydrophobic lipid-protein zones, misalignments occur, leading to a phase separation or segregation of the lipid components with the proteins, creating domains enriched in one of the two components.

Furthermore, the length of the acidic chains of the bilayer lipids affects the state of protein aggregation or hydrophobic lipid-protein mismatch [15].

When the length of the acidic chain is greater than the hydrophobic extension of the protein, it tends to aggregate forming dimers, separating from the lipid and decreasing the hydrophobic interaction between the two. On the other hand, when the length of the chain is smaller than the hydrophobic zone of the protein, monomeric aggregates of the protein are produced with lipids trapped inside them, establishing interactions with the protein. With lengths of intermediate chains, coincidences with the hydrophobic zones of the protein take place and this adopts a monomeric form, leaving the totality of its hydrophobic surface in total coincidence with that of the lipids [15].

The non-coincidence in the hydrophobic zone leads to the separation of the lipids in relation to the protein components, and to the formation of domains with a predominance of lipids or proteins.

The greater hydrophobic contact of the lipid with the protein occurs in the CSTS and this favors the greater activity of the ATPase. On the other side, the lower hydrophobic lipid/protein contact in the COTS produces a mismatch between the lipid and protein component, which negatively affects the enzymatic functionality (total ATPase).

Therefore, a correlation between the hydrophobic regions of the protein and that of the surrounding lipids is necessary for the optimal functionality thereof.

In addition, the hydrophobic thickness of the bilayer must match the hydrophobic thickness of the protein embedded in the bilayer because of the high-energy costs that occur when the acyl chains of the fatty acids or the hydrophobic amino acids contact with water. The lack of coincidence between the hydrophobic thicknesses of the lipid bilayer and the protein leads to the distortion of the lipid bilayer, or of the protein, or both, to minimize the mismatch [15].

The acyl chains neighboring a membrane protein adjust its length to match the hydrophobic thickness of the protein. Indeed, when the hydrophobic thickness of the bilayer is less than that of the protein, the lipid chains neighboring the protein are “stretched” to provide a thicker bilayer, creating a positive curvature (exocytosis). Conversely, when the hydrophobic thickness of the bilayer is greater than that of the protein, the lipid chains are “compressed” to provide a thinner bilayer, creating a negative curvature (endocytosis). The relatively small changes in the binding of lipids with proteins are due to changes in the lengths of the acyl chains.

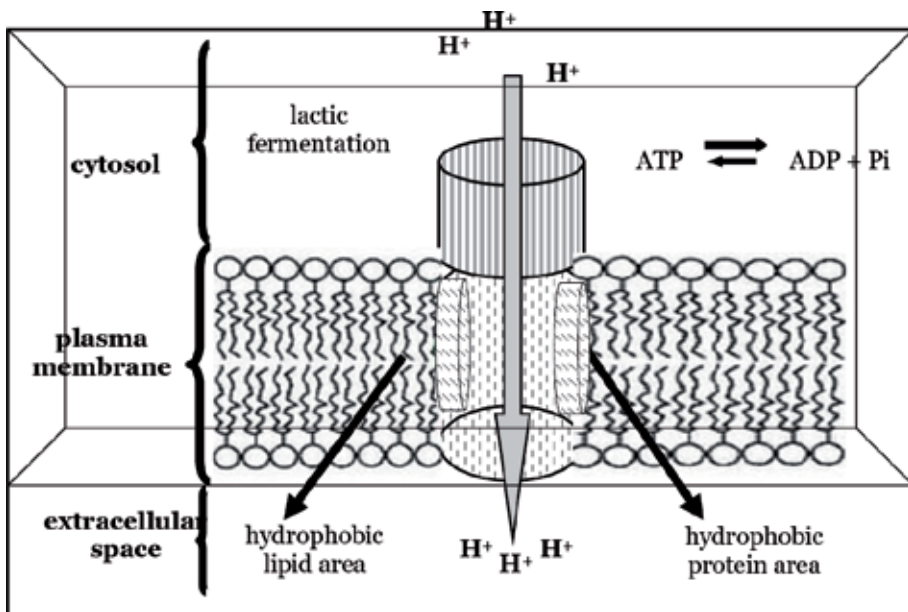
In conclusion, the acyl chains are “stretched” or “compressed” to provide, as much as possible, a complete hydrophobic match with the protein zone. This leads to changes in the spontaneous curvature of the lipid bilayer coupled with possible conformational changes or distortion of the membrane protein to provide the strongest interactions. Both the lipid and the protein modified to favor the best interaction, with the result of an optimal activity. The function of the protein is dependent on the structure of the lipid that surrounds it.

The different organization of the microbial membrane according to the dental surface where *S. mutans* are developed are exemplified in **Figures 4** and **5**, which show how lipids and proteins interact and how this interaction can affect the enzymatic activity of ATPase (virulence factor).

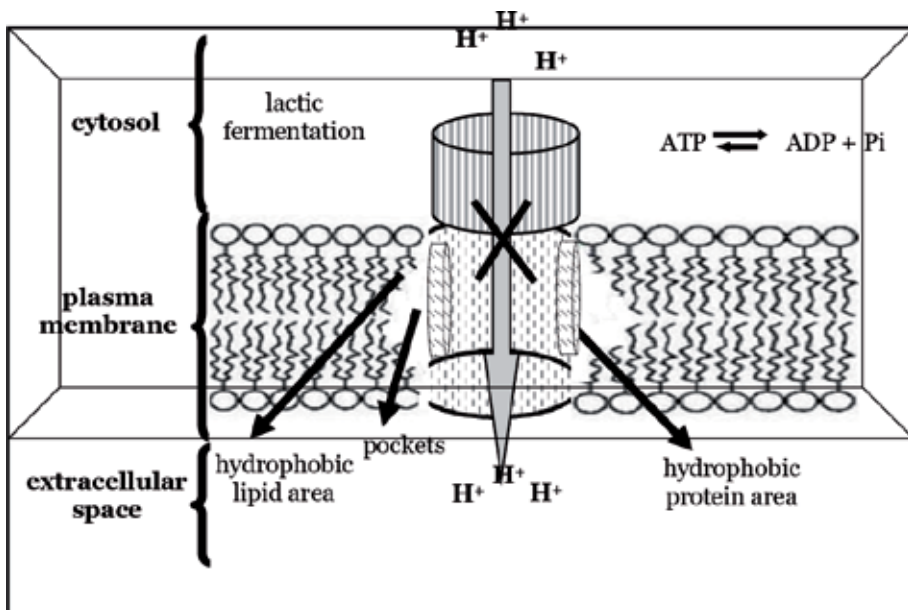
In CSTS, the increase of long-chain unsaturated fatty acids improves the interrelation of these with the hydrophobic sectors of the ATPase protein, which contributes to the greater enzymatic activity or greater expulsion of  $H^+$  to the outside (**Figure 4**), so in CSTS, the virulence factor of *S. mutans* is increased. On the other hand, when chains of membrane fatty acids are shorter and more saturated as in COTS, spaces or “pockets” can occur between the lipid and the protein, influencing the behavior of ATPase. In this case, the contact zones between the hydrophobic portion of the protein and that of the fatty acids did not coincide completely (**Figure 5**). Consequently, of this mismatch the enzymatic activity is diminished and there is not sufficient expulsion of  $H^+$  to the exterior (decreased virulence factor).

The change in the membrane fatty acid profile of *S. mutans* and the changes in total ATPase activity are simultaneous processes.

The greater enzymatic activity in CSTS would relate to changes in the organization of the membrane, induced by changes in lipid composition, which favor the best interaction between the hydrophobic segments of both components lipid and protein [15].



**Figure 4.** Lipid-protein interaction in CSTS. The hydrophobic region of the acyl chains coincides with the entire hydrophobic region of the protein. In this case, the enzyme functionality (higher ATPase activity) is favored by better protein-lipid hydrophobic matching, preventing distortion of both parties.



**Figure 5.** Lipid-protein interaction in COTS. The hydrophobic region of the acyl chains does not fully coincide with the hydrophobic region of the protein, creating "pockets" due to a mismatch between the lipid and protein components, affecting adversely the enzyme functionality.

## 6. Conclusions

The main virulence factors of *S. mutans* are its ability to utilize sucrose to promote adhesion and accumulation in dental biofilms, its acidogenicity and its

tolerance to acids. The acid survival of *S. mutans* depends both on the pH of the medium and on the composition of fatty acids and proteins plasma membrane (F-ATPase and P-ATPase).

As with most host–microbe interactions, these attributes only provide the organism with pathogen potential. The physiology of the host and the overall oral flora ecology may or may not suppress this potential.

The advance in the knowledge of how complex and heterogeneous can be the disease of the caries, according to the surface or the biofilms where it develops, can be useful to design new strategies of therapy in the treatment of this disease.

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## Conflict of interest


We declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of the research reported.

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# Toward Better Understanding on How Group A *Streptococcus* Manipulates Human Fibrinolytic System

*Adam J.H. Quek, James C. Whisstock and Ruby H.P. Law*

## Abstract

Group A *Streptococcus pyogenes* (GAS) is a human pathogen that commonly causes superficial infections such as pharyngitis, but can also lead to systemic and fatal diseases. GAS infection remains to be a major threat in regions with insufficient medical infrastructures, leading to half a million deaths annually worldwide. The pathogenesis of GAS is mediated by a number of virulence factors, which function to facilitate bacterial colonization, immune evasion, and deep tissue invasion. In this review, we will discuss the mechanism of molecular interaction between the host protein and virulence factors that target the fibrinolytic system, including streptokinase (SK), plasminogen-binding group A streptococcal M-like protein (PAM), and streptococcal inhibitor of complement (SIC). We will discuss our current understanding, through structural studies, on how these proteins manipulate the fibrinolytic system during infection.

**Keywords:** hemolytic *Streptococcus*, streptokinase, plasminogen-binding streptococcal M protein, streptococcal inhibitor of complement, plasmin, host-pathogen coevolution

## 1. Introduction

Group A *Streptococcus* (GAS) is a strict human pathogen that leads to diverse clinical manifestations, ranging from superficial infections, such as pharyngitis, to severe cases of streptococcal toxic shock syndrome and necrotizing fasciitis mainly in children and young adults [1]. GAS infection can also lead to a range of post-streptococcal autoimmune sequelae such as acute rheumatic fever, rheumatic heart disease, and acute glomerulonephritis [2, 3]. Life-threatening systemic GAS infection is more prevalent in, but not limited to, regions with insufficient medical infrastructures and is estimated to cause more than half a million deaths annually worldwide [4, 5]. Through coevolution, GAS has perfected its ability to manipulate the host fibrinolytic system for invasion. In human, the plasminogen/plasmin (Plg/Plm) system plays a key role in fibrinolysis, tissue remodeling, and wound healing [6–9]. This review aims to focus on the current understanding on molecular mechanisms adopted by GAS to hijack the host Plg/Plm system during infection.

## 2. The plasminogen/plasmin system

The early observation that streptococci stimulate fibrinolysis by Dr. William S. Tillett in 1933 [10] had triggered the subsequent discoveries on how streptococci manipulated the fibrinolytic system to facilitate blood clot dissolution [11]. The actual protein responsible for the clot lysis is in fact a constituent of the human plasma, instead of the bacteria, and is not fibrinolytic until activated by the streptococcal protein named streptokinase (SK) [12, 13]. This human lytic factor is plasmin (Plm), an activated form of plasminogen (Plg).

### 2.1 Structure of Plg

Plasmin (Plm) is a plasma serine protease responsible for many physiological functions such as cell migration [14], wound healing [15], inflammation [16], and prohormone processing [17]. Plm circulates in an inactive zymogen form called plasminogen (Plg).

Primarily synthesized and secreted by the liver [18], native Plg is a 89–92 kDa glycoprotein comprising of seven domains: an N-terminal PAN-apple domain (PAP), followed by five homologous kringle domains (KR-1 to KR-5) and a serine protease domain (SP) (**Figure 1a**) [19, 20]. The PAP domain is important for maintaining a compact conformation (closed) in the circulation [21]. Each KR domain has a lysine-binding site (LBS) that consists of the Asp-X-Asp/Glu motif (except KR-3 which has the Asp-X-Lys mutation) that recognizes and binds to surface lysine or arginine residues, such that the KR domains facilitate the binding of Plg and Plm to substrates and targets (such as fibrin and cell surface receptors) which leads to the conformational change from close to open. SP is the catalytic domain. In the zymogen form, residues His<sub>603</sub>, Asp<sub>646</sub>, and Ser<sub>741</sub> (also called the catalytic triad) adopt an inactive configuration.

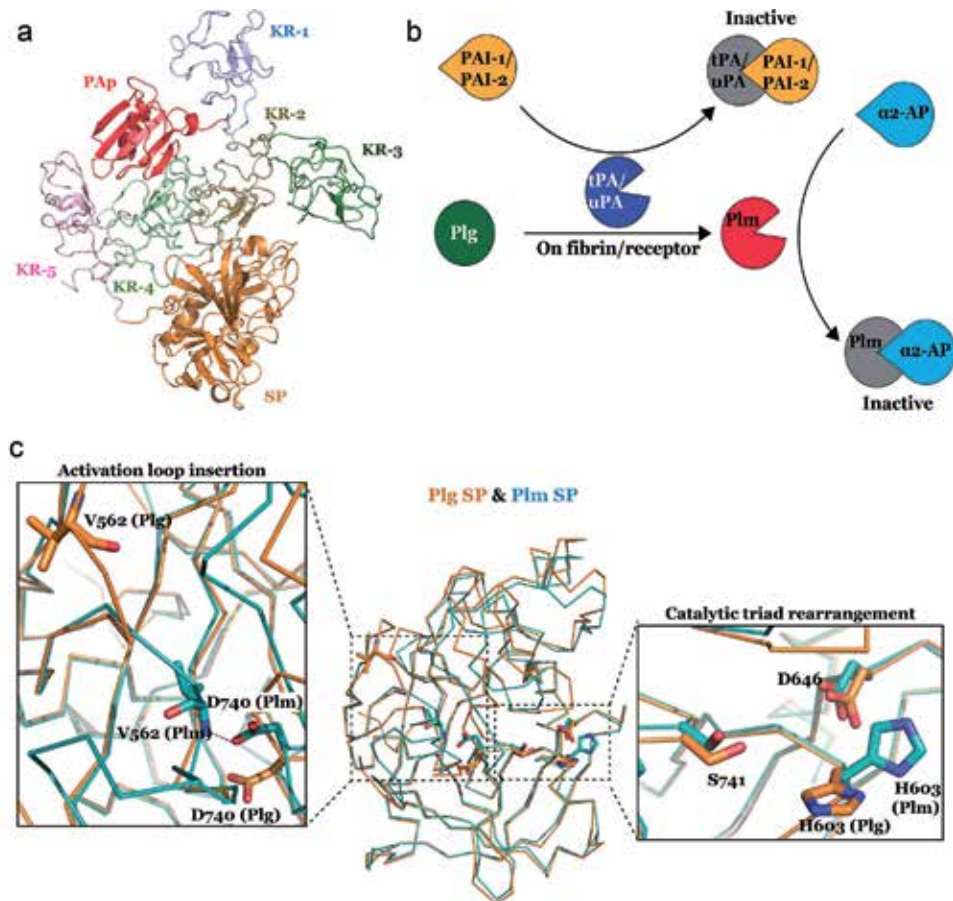
### 2.2 Physiological activation of Plg

In mammals, the two key physiological Plg activators are tissue-type (tPA) and urokinase-type (uPA) Plg activators (**Figure 1b**). Activation of Plg requires its colocalization with the activators; the expression of these activators is regulated both spatially and temporally in vivo [22–24]. Thus, Plm plays a key role in fibrinolysis intravascularly on the surface of fibrin clots in the presence of tPA and cellular migration and tissue remodeling extravascularly in the presence of uPA bound on cell surfaces.

Upon binding to the targets, Plg adopts an open conformation. The activation loop, which is obstructed by the linker between KR-3 and KR-4 in the closed conformation, becomes exposed. The activation bond (Arg<sub>561</sub>-Val<sub>562</sub>) is then proteolytically cleaved by uPA and tPA [25, 26]. The nascent N-terminal Val<sub>562</sub> moves by 11.6 Å forming a salt bridge with Asp<sub>740</sub>; this triggers a series of conformational changes and thus allows the formation of a functional substrate binding site and catalytic pocket (**Figure 1c**). In Plm, the heavy chain (N-terminal domains, 63 kDa) and the light chain (SP domain, 25 kDa) are linked together by two disulfide bonds, between Cys<sub>558</sub>-Cys<sub>566</sub> and Cys<sub>548</sub>-Cys<sub>666</sub>.

Serine protease inhibitors (termed serpins [27]) play a key regulatory role to ensure that there is no aberrant activation of Plg nor free Plm in the circulation. Under physiological conditions, the activity of plasminogen activators is modulated by their specific plasminogen activator inhibitors (PAI-1 and PAI-2) (**Figure 1b**) [28]. Active Plm which is not physically immobilized is removed immediately from the circulation by Plm-specific inhibitor  $\alpha$ 2-antiplasmin ( $\alpha$ 2-AP) [29, 30].





**Figure 1.** The structure and function of human plasminogen (Plg). (a) Cartoon representation of the X-ray crystal structure of Plg in the closed conformation (PDB ID: 4DUR) [21]. The seven domains of Plg are the PAN-apple (PAp), kringles 1–5 (KR-1 to KR-5), and serine protease (SP) domains, shown in different colors. (b) The Plg/Plm system. Plg is converted to active plasmin (Plm) by activators, urokinase plasminogen activator (uPA) and tissue plasminogen activator (tPA), on fibrin or receptor. The activators are regulated by serine protease inhibitors (serpins), plasminogen activator inhibitors (PAI-1 and PAI-2). Plm, upon released from its site of action, is inhibited by  $\alpha$ 2-antiplasmin ( $\alpha$ 2-AP). (c) Conformational change in the SP domain during Plm formation. Superposition of the SP domains of Plg (PDB ID: 4DUR) [21] and Plm (PDB ID: 3UIR) [94] reveals the insertion and formation of a salt bridge between Val<sub>562</sub> and Asp<sub>740</sub> in Plm (left panel). This interaction leads to the rearrangement of catalytic triad His<sub>603</sub>, Asp<sub>646</sub> and Ser<sub>741</sub> (right panel) into an active conformation.

### 3. Streptokinase

#### 3.1 Structure and function of SK

SK is secreted by GAS as a 47 kDa protein and consists of three homologous domains, termed  $\alpha$ ,  $\beta$ , and  $\gamma$ , held together by flexible linker loops. Each domain adopts a  $\beta$ -grasp fold consisting of 4–5-stranded  $\beta$  sheets and a central  $\alpha$ -helix or a coiled coil [31]. The interaction between Plg/Plm and SK is evolutionarily conserved and strictly species specific [32, 33]. SK variants secreted by GAS isolated from different species (e.g., from human, pig, and horse) are incapable of any cross-species reactivity and therefore are predicted to share not only low sequence identity but also low structural homology [32].

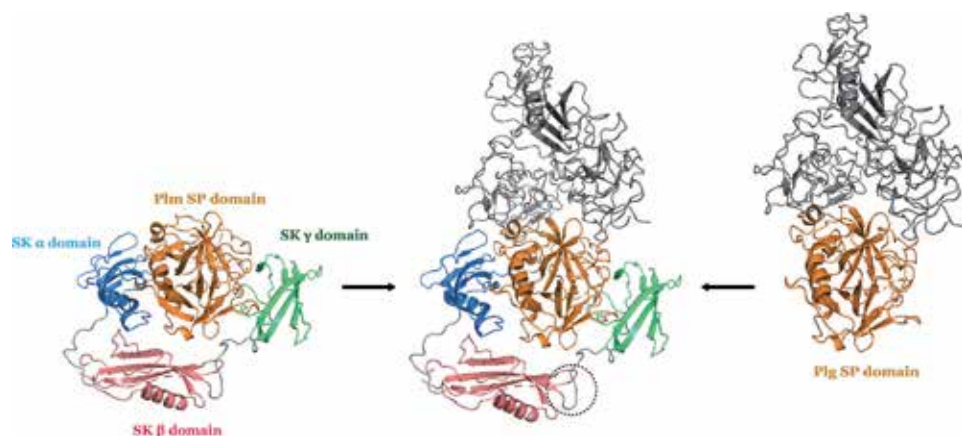
The X-ray crystallography studies on the binary complex of Plm SP domain ( $\mu$ Plm) and SK reveal that SK wraps around the SP domain forming a horse-shoe-shaped structure [31, 34] (**Figure 2**) and further superposition of the full-length closed Plg with the  $\mu$ Plm-SK structures suggests that the interaction between SK and Plg can occur with Plg, which remains in its closed conformation without any steric clashes (**Figure 2**) [35]. This observation provides fundamental insights to the mode of Plg activation by SK, as discussed in the next section.

SK is not a protease, nor it activates Plg by proteolytically cleaving the activation loop as uPA or tPA mentioned above. It forms a 1:1 stoichiometric complex with Plg through a rapid binding reaction, with an association rate of  $5.4 \times 10^7 \text{ M}^{-1} \text{ s}^{-1}$  [36]. Binding of SK to free Plg results in the formation of catalytically active Plg (Plg\*) (**Figure 3**). The SK-Plg\* binary complex [37, 38] cleaves Plg, either in closed or open conformation, to form Plm.

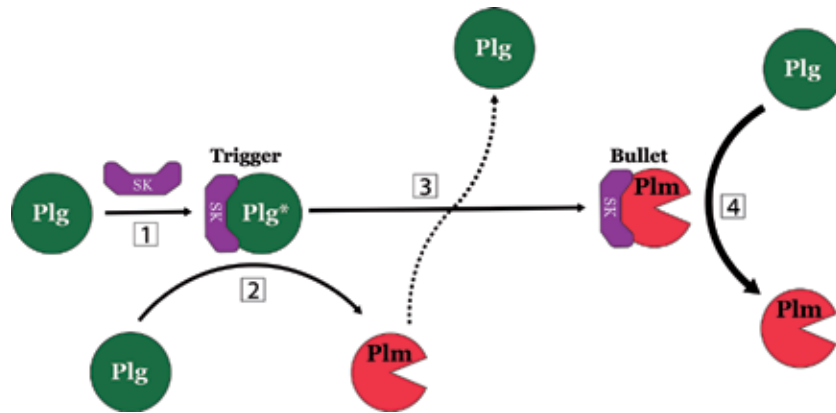
The Plm generated has a much higher ( $\sim 57,000$ -fold) affinity for SK than Plg ( $K_D$  11 pM and 624 nM, respectively), such that the Plg in the SK-Plg\* complex would be replaced by Plm to form the final and irreversible SK-Plm complex (**Figure 3**) [39, 40]. The inhibitory capacity of  $\alpha 2$ -AP reduces significantly with a  $\sim 10,000$ -fold lower affinity for the SK-Plm complex than Plm (rate constant of  $1.4 \times 10^2$  and  $5.4 \times 10^7 \text{ M}^{-1} \text{ s}^{-1}$ , respectively) [36, 41]; accordingly, GAS infection could potentially generate an unregulated pericellular proteolytic (i.e., Plm) activity within the host.

### 3.2 Plg activation by SK

How does SK activate Plg without cleaving the activation loop? The current model suggests that the N-terminal Ile<sub>1</sub> residue of SK inserts into the binding cleft of Val<sub>562</sub> in the SP domain and forms a salt bridge with Asp<sub>740</sub>. Accordingly, it induces a conformational change and formation of a functional catalytic site [42–44]. This “molecular sexuality” mechanism of cofactor-induced zymogen activation is also reported in the activation of prothrombin-2 by staphylocoagulase from *Staphylococcus aureus* [45].



**Figure 2.** Crystal structure of SK- $\mu$ Plg and in silico docking model of SK-Plg encounter complex (left panel). Structure of SK- $\mu$ Plg (Plg SP domain) complex (PDB ID: 1BML) [31]. SK  $\alpha$ ,  $\beta$ , and  $\gamma$  domains are colored blue, pink and green, respectively, whereas  $\mu$ Plg is shown in orange. (Middle panel) Superposition of the SK- $\mu$ Plg structure and (right panel) full-length Plg generate the structural model of SK-Plg encounter complex. This model suggests that the SK can bind to closed Plg without any steric interference. Dashed circle highlights the proposed Plg substrate binding region (250-loop) in the  $\beta$  domain of SK.



**Figure 3.** Mechanism of SK-mediated Plg activation. (1) The first catalytic (named trigger) cycle is initiated by the binding of free Plg to SK and the generation of the proteolytically active SK-Plg\* complex. (2) SK-Plg\* activates free Plg substrate to Plm. (3) Plm displaces Plg in the SK-Plg\* complex to form the final and irreversible SK-Plm complex. (4) In the second catalytic (named bullet) cycle, the SK-Plm activator complex converts free Plg to Plm at a fast rate.

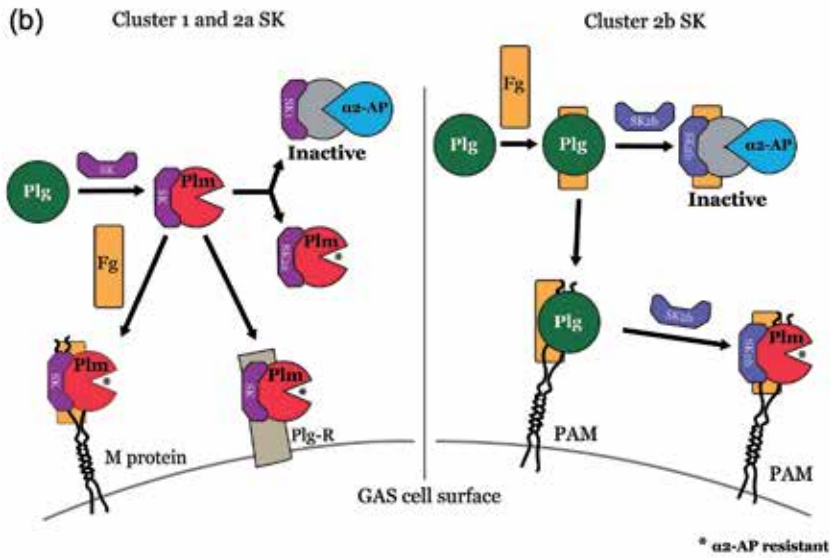
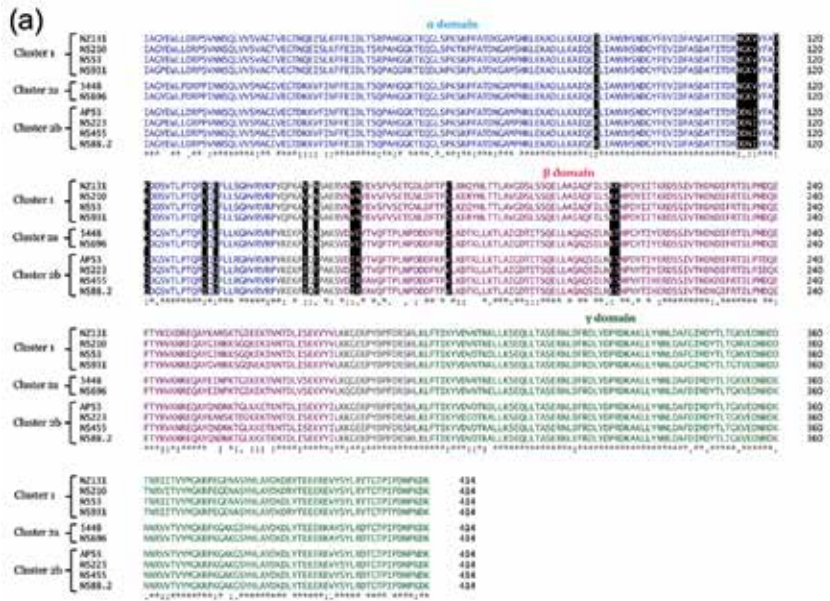
The activation loop of Plg has evolved, via negative selection, to be a poor substrate of Plm [46], to minimize the risks of autoactivation. Binding to SK, however, changes the shape of the substrate binding pocket. In doing so, SK-Plg\* and SK-Plm becomes highly specific in the binding and cleavage of the Plg activation loop [47], and this leads to a total deregulation of the fibrinolytic system.

Lastly, how does SK-Plg\* or SK-Plm access the activation loop of Plg which is shielded in the closed conformation as previously discussed? Published data suggested that SK mediates a conformational change in the substrate Plg. Specifically, the substrate binding site of SK-Plm is situated at the tip of the protruding 250-loop region (residues Ala<sub>251</sub>-Ile<sub>264</sub>) of SK  $\beta$  domain (**Figure 2**) [34]. Mutation studies reveal that residues Arg<sub>253</sub>, Lys<sub>256</sub>, and Lys<sub>257</sub> of the same 250-loop can also bind simultaneously to the substrate Plg via its LBS of KR-5 domain [48], forming a ternary complex [31, 49, 50]. Thus, it is foreseeable that SK  $\beta$  domain peels KR-5 away from the PAp domain which leads to the formation of an open Plg with its activation loop exposed.

Further, SK has a 20-fold higher affinity for Plg in the open conformation, presumably due to additional interactions with other KR domains [40, 51]. Specifically, the C-terminal Lys<sub>414</sub> of SK  $\gamma$  domain has been shown to interact with KR-4 LBS [52, 53]. Apart from Lys<sub>414</sub>, other Lys residues located at the  $\beta$  and  $\gamma$  domains might also be involved in binding to other KR; together they promote a remarkably high-affinity interaction between SK and Plg/Plm in their open conformation [54]. However, without any structural data on the co-complexes of the relevant domains, the exact mechanism of the LBS-dependent interactions remains to be speculative.

### 3.3 Classification of streptokinase

All invasive GAS strains express SK to enhance dissemination [55, 56] and colonization within the host [57]. Interestingly, the SK alleles are polymorphic and can be subdivided into two phylogenetic lineages based on the highly variable  $\beta$  domain [38], namely, cluster 1 (SK1) and cluster 2 (SK2) (**Figure 4a**) [38, 58, 59]. The sequence identities of  $\alpha$ ,  $\beta$ , and  $\gamma$  domains between GAS strains are 77, 55, and 84%, respectively [60]. GAS from different clusters show different properties in Plg activation, receptor expression, and receptor binding.



**Figure 4.** (a) Allelic variants of streptokinase (SK) from GAS. Sequence alignment of cluster 1, 2a and 2b SK clinical isolates.  $\alpha$ ,  $\beta$ , and  $\gamma$  domain regions are colored blue, pink, and green, respectively. “\*”, “:”, and “.” denote for strictly conserved, strongly similar, and weakly similar residues, respectively. Alignment was performed using the Clustal Omega multiple sequence alignment server (EMBL-EBI). Protein sequence GenBank accession numbers NZ131, AC162887.1; NS210, AGA54152.1; NS53, AGA54154.1; NS931, AGA54153.1; 5448, AF144175.1; NS696, AF144174.1; AP53, AGA54155.1; NS223, AGA54156.1; NS455, AGA54157.1; and NS88.2, AGA54158.1. (b) Cartoon illustration of the functional differences of SK clusters. Left: Cluster 1 and 2a SK can directly activate Plg in a 1:1 complex and localize to the bacterial cell surface via plasminogen receptors or indirectly via fibrinogen-binding M protein. Cluster 1 SK-Plm complex is susceptible to  $\alpha$ 2-AP inhibition. Cluster 2a SK-Plm and cell surface-bound complexes are  $\alpha$ 2-AP resistant. Right: Cluster 2b SK must first form a ternary complex with fibrinogen (Fg) and Plg before binding onto cell surface via PAM or M protein. Unless bound to PAM, SK-Plg-Fg is inhibited by  $\alpha$ 2-AP.

SK1-Plg complex is enzymatically active (**Figure 4b**) but has been shown to be susceptible to  $\alpha$ 2-AP inhibition [37]. Furthermore, SK1-Plg can bind to fibrinogen (Fg) and form the Fg-SK1-Plg ternary complex without any changes to the enzymatic activity [37]. SK1-Plg binds directly to Plg receptors such as

glyceraldehyde-3-phosphate dehydrogenase and enolase, whereas Fg-SK1-Plg binds to M protein receptor such as M1.

SK2 is further subdivided into two clusters—SK2a and SK2b. Like SK1, SK2a expresses M protein and other Plg receptors, and the SK2a-Plg\* complex is enzymatically active. One striking difference is that both SK2a-Plg\* and SK2a-Plm are resistant to  $\alpha$ 2-AP inhibition. SK2b on the other hand is co-expressed with a specific Plg receptor called plasminogen-binding group A streptococcal M-like protein (PAM, see next section) [38, 59]. SK2b has a lower affinity for Plg (30-fold lower than SK1 and SK2a) [37], and the SK2b-Plg complex is enzymatically inactive. Thus, Plg activation by SK2b is strictly limited to the bacterial cell surface [61]. Upon formation of the quaternary complex of PAM-SK2b-Plg-Fg, this complex is resistant to  $\alpha$ 2-AP (**Figure 4b**).

The polymorphism and functional differences between the SK variants result in different physiopathology of streptococcal infection [59]. For example, the PAM-expressing SK2b strains where Plm activity is restricted to the cell surface are able to sustain much longer-lasting skin infections [37, 62].

#### 4. Plg-binding group A streptococcal M-like protein (PAM)

M protein is the major virulence determinant of GAS [63]. It belongs to a family of dimeric coiled-coil surface-associated proteins. Under the electron microscope, it appears as a fibrillar coat on the bacteria surface [64]. The protein sequence of M proteins is highly variable especially in the first 50 residues at the N-terminus, known as the hypervariable region (HVR). Strain typing based on HVR sequence has identified more than 250 M subtype to date [65]. The variable region confers affinity to different host molecules, such as Fg [66], immunoglobulin [67], complement factor H [68], etc. There has been a number of reviews published on the sequence pattern and function of the M protein family [64, 69] and therefore will not be covered in the current paper. Here, we will focus on the structure and function of PAM, which is a specific Plg receptor that mediates Plg activation by SK2b.

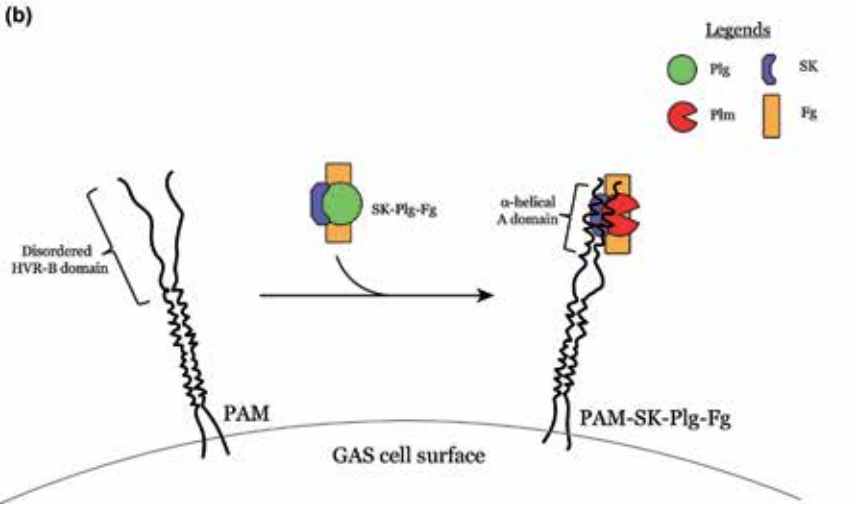
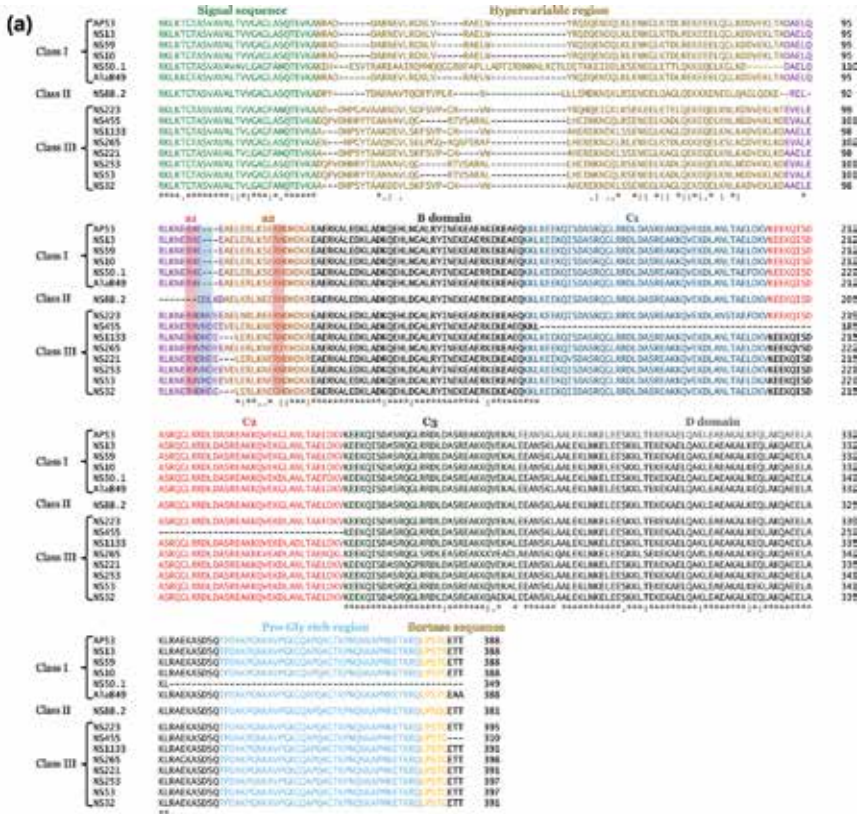
##### 4.1 Structure of PAM

PAM is encoded by the *emm* gene situated in the multiple gene activator (*mga*) regulon. The *mga* regulon contains varying number of *emm* or *emm*-like genes and forms the basis of the five different *emm* patterns (type A-E). PAM-positive GAS strains are exclusively *emm* pattern D [70, 71].

PAM has the overall domain architecture of an M protein, which includes a hypervariable region (HVR) at the N-terminus followed by variable A and B repeat domains and the conserved C and D domains and an anchor region (**Figure 5a**). In the precursor protein, there is a signal sequence that precedes the HVR and is removed upon secretion. The anchor region consists of an LPTXG motif that is responsible for sortase-mediated crosslinking of the C-terminus to the cell wall peptidoglycan [64].

To date, no binding target or function has been assigned to the HVR region. However, as this region extends the farthest from the cell surface, it might serve as a hypermutatable decoy which promotes GAS evasion from the host immunity as observed for the HVR of M1 and M5 proteins [72].

The A repeat domain consists of up to two tandem repeats termed a1 and a2. The a1a2 repeats each harbor a conserved Plg-binding motif consisting of an arginine-histidine dipeptide (termed the RH motif). PAM variants differ mainly



**Figure 5.** (a) PAM variants from emm pattern D GAS strains. Sequence alignment of PAM variants shown is divided into three classes: I, II, and III. RH motifs in  $\alpha 1$  and  $\alpha 2$  repeats are highlighted in red, and the three-residue insertions between  $\alpha 1$  and  $\alpha 2$  in class III PAM are highlighted in blue. For clarity, residues before signal sequence and after sortase sequence were removed. “\*” “.” and “~” denote strictly conserved, strongly similar, and weakly similar residues, respectively. Alignment was performed using the Clustal Omega multiple sequence alignment server (EMBL-EBI). Protein sequence GenBank accession numbers AP53, CAA80222.1; NS13, AAO64521.2; NS59, AAO64518.2; NS10, AAO64516.2; NS50.1, AAO64519.3; AlaB49, AEQ25265.1; NS88.2, AAO64526.2; NS223, AAO64524.2; NS455, AAO64527.2; NS1133, AAO64517.2; NS265, AAO64525.2; NS221, AAZ66743.1; NS253, AAO64523.2; NS53, AAO64522.3; and NS32, AAO64520.2. (b) Cartoon illustration of the conformational change of PAM and Plg binding. It is proposed that the N-terminal portion of PAM (from HVR to B domain) is largely disordered and transforms to a helical structure upon binding to Plg. The structural change serves to enhance the intermolecular interactions.

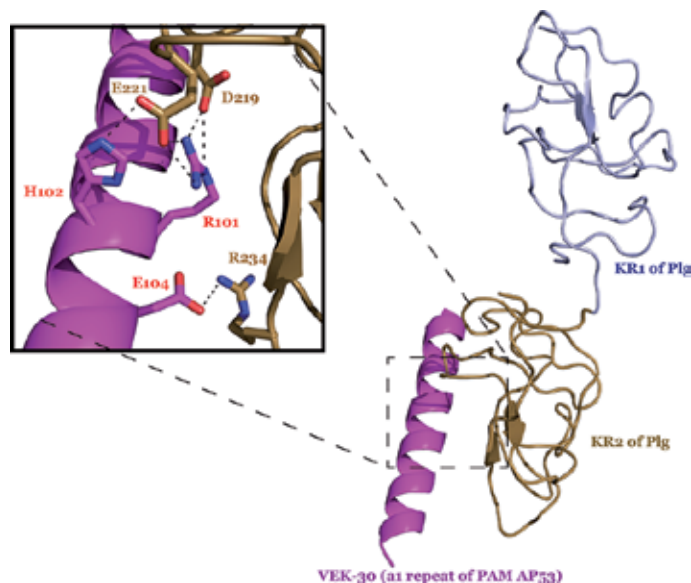
in the HVR and A repeat region [71] and can be divided into three classes based on the A domain arrangements, namely, I, II, and III (**Figure 5a**). All classes have the a2 repeat, class I has both a1a2 repeats, class II only has a2, and class III has both a1a2 repeats as in class I, but the repeats are separated by a three-residue insertion. In bacterial strains such as PAM<sub>NS265</sub> and PAM<sub>NS32</sub>, the second RH motif is mutated to Arg-Tyr and Gly-His, respectively (**Figure 5a**). Despite these variations, all PAM bind to human Plg with high affinities [71, 73, 74].

Based on NMR studies [74], the structure of the HVR and A domain is predominantly disordered, and the binding to Plg results in a major conformational change and formation of  $\alpha$ -helical structures (**Figure 5b**). This observation was further supported by experimental data published in a recent study [75], where it is revealed that the conformation switch can be detected even without binding to Plg, and the alternation between disordered and a dimeric  $\alpha$ -helical structure occurs in a temperature-dependent manner, similar to the M1 protein reported previously [76]. This observation could be explained by a conformation sampling of the flexible domains.

Other than the aforementioned dynamic and dimeric interaction at the N-terminal HVR and A domains, the current structure model of PAM is a coiled-coil dimer, which is stabilized via the C and D domains' interaction [74]. It is proposed that at least two C domains are required for a stable dimer formation. However, PAM<sub>NS455</sub>, one of the smallest PAM variants identified to date, contains only one C domain (**Figure 5a**). While it has been shown that PAM<sub>NS455</sub> has high affinity for Plg [71], the question remains if and how PAM<sub>NS455</sub> maintains the dimeric assembly.

#### 4.2 Binding mechanism of PAM to Plg

PAM binds to both Plg and Plm directly with high affinity ( $K_D$  of  $\sim 1$  nM) [77, 78] through the RH motifs in the A repeat region to Plg KR2 domain (**Figure 6**) [79].



**Figure 6.** X-ray crystal structure of angiostatin (KR1-KR2) and VEK-30 complex (PDB ID 2DOI). VEK-30 (magenta), a peptide derived from PAM AP53, binds to KR2 (brown) primarily by its RH (R101 and H102) motif (sticks) interacting with the LBS residues D219 and E221 (inset). Together, R101, H102, and E104 form a lysine isostere that is recognized by the LBS of KR2. KR1 (light-blue) does not play a role in the complex formation [80].

Based on the crystal structures of the a1 repeat-KR2 binary complex, the side chains of the RH motif residues Arg<sub>101</sub> and His<sub>102</sub> form a pseudo-lysine moiety (called the lysine isostere) and bind to the LBS of KR2 [79, 80]. Peripheral residues of the RH motif such as Asp<sub>91</sub>, Glu<sub>93</sub>, Leu<sub>97</sub>, Lys<sub>98</sub>, and Glu<sub>104</sub> mediate further intermolecular interaction via binding to residues of KR2 outside the LBS, namely, Tyr<sub>200</sub>, Arg<sub>220</sub>, Arg<sub>234</sub>, and Trp<sub>235</sub> [80]. These additional interactions play important roles in stabilization of the complex. Of these residues, Tyr<sub>200</sub> and Arg<sub>220</sub> are unique to KR2, accordingly; these residues may drive the specificity of the A repeats toward the KR2 domain. In doing so, PAM is expected to bind not only tightly to Plg but also without competing with SK binding [80]. Further structural studies would be required to validate this hypothesis.

Outside the A repeats-KR2 binding interface, there are many questions remained to be addressed regarding the interaction between Plg and PAM. For instance, both a1 and a2 were shown to bind KR2 [81], but would a single PAM monomer bind to two Plg? Further, KR2 in closed Plg is inaccessible. How does PAM bind to KR2? Does it induce a conformational change of Plg prior to the complex formation [78]? Furthermore, the N-linked glycosylation of Plg at KR3 in Plg glycoform I reduces its affinity for PAM [82]; does KR3, which does not have a functional LBS, mediate exosite(s) interaction with PAM?

## 5. Streptococcal inhibitor of complement (SIC)

Streptococcal inhibitor of complement (SIC) is a 31-kDa secreted virulence factor found in M1 and M57 GAS serotypes. SIC is named after its inhibitory function of complement-mediated cell lysis. SIC binds to complement system regulators such as histidine-rich glycoprotein, clusterin, and membrane attack complex C5b-C9 (**Figure 7a**) [83]. Subsequent research revealed that SIC also binds to antimicrobial peptides [84, 85], extracellular histones [86], fibrin [87], thrombin [87], and plasminogen [87]. Accordingly, the physiological role of SIC is to manipulate the host defense system for infection and invasion. Of particular interest to the current review is that it inhibits the fibrinolytic system through binding to Plg [87].

### 5.1 Structure and function of SIC

SIC consists of an N-terminal signal peptide that is cleaved upon secretion; the mature form has a short repeat region followed by three tandem repeats of about 30 residues each (**Figure 7b**). The three-dimensional structure of SIC is currently unknown, and there is no apparent sequence identity with proteins in the database such as Pfam.

Additional to its well-known roles in suppressing the host defense system, SIC has been shown to modulate the fibrinolytic system [87]. It was proposed that SIC inhibits SK-mediated Plg activation. Specifically, SIC-positive GAS entrapped in the fibrin clot allows its survival for much longer than the SIC-negative strain. The entrapped bacteria colonize before its dissemination from the primary infection sites.

SIC is expressed in the early growth phase of M1 GAS; its role, which is to temporally regulate the activity of SK, is only reported in a recent study. It was shown that the Plg-binding motif(s) in SIC is located at the C-terminal 200 residues which presumably binds the Plg KR domains [87]. Significantly, although





## **6. Conclusion**

The fibrin network plays a pivotal role in innate immune defense via entrapping pathogens within the primary infection sites. GAS infection studies in animals have provided strong evidence that GAS has the ability to manipulate the host fibrinolytic system at many levels [88, 89]. On one hand, hijacking the host Plg/Plm on the bacterial surface has provided an energy-efficient strategy to break down the fibrin network during dissemination [55, 57, 90], and this is achieved with the aid of PAM. Using GAS strains which express both SK2b and PAM genes, it was shown that inactivation of either genes significantly reduces virulence [59]. SIC, on the other hand, allows the bacteria to make use of the fibrin network as a shelter during the initial colonization phase, and it simultaneously inhibits the complement system in order to ensure the survival of bacteria in the early infection phase. The combined effects of these virulent factors perhaps allow the SIC-expressing M1 strain to be one of most invasive GAS [91].

GAS has evolved into a formidable pathogen through its millennial of coexistence with human host and natural selection; it is invasive and also evasive through manipulating the host immunity with a plethora of virulent factors. The three extracellular virulent factors discussed in this review modulate specifically the fibrinolytic system via an assembly of Plg modulators. Ironically, these virulence factors are capable of outranking the human counterparts in terms of efficiencies and affinities. SK, for instance, is the most efficacious Plg activator ever discovered, and therefore it was the first therapeutic approved for the treatment of thrombotic disorders including myocardial infarction [92] and pulmonary embolism [93]. With the increasing prevalence of antibiotic-resistant superbugs, GAS infection is expected to pose a risk to public health worldwide. Better understanding on the molecular mechanisms of how these virulent factors manipulate the host immunity will provide insight on future development of treatments for GAS infection.

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## **Conflict of interest**

There is no conflict of interest.

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# Cell Surface and Cytosolic Proteins of Group B Streptococcus Adding New Dimensions in Its Colonization and Pathogenesis

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## Abstract

*Streptococcus agalactiae* or Group B streptococcus (GBS) is an opportunistic human pathogen known for their invasive diseases caused in newborns, pregnant women, and nonpregnant adults. This pathogen even being an asymptomatic colonizer of adult humans, still they result in a broad range of disease manifestations starting from mild skin diseases to pneumonia, meningitis, and septicemia. Of the 10 GBS capsular types, the majority of invasive neonatal diseases are associated with the serotype III. GBS is a pathogen that has developed some strategies to resist host immune defenses. The formidable array of GBS virulence factors makes this bacterium at the forefront of neonatal pathogens. The involvement of bacterial components in the host-pathogen interaction of GBS pathogenesis and its related diseases is thought to be due to a variety of virulence factors expressed by *Streptococcus agalactiae*. Pathogenic factors of streptococcus promote infections by their coordinated activity. These factors/determinants initially get a stimulus by the communication between specific ligands and their respective receptors in a host-pathogen interaction. These in turn activate adhesion and invasion mechanisms by mediating the attachment of pathogen via cell wall associated/secretory proteins, e.g., adhesins followed by their entry into the host cell eventually deciding their fate to live by activation of mechanisms like phagocytosis. These mediators/determinants also modulate the immune responses by the host toward the pathogen. A number of new GBS surface-exposed or secreted proteins have been identified (GBS immunogenic bacterial adhesion protein, leucine-rich repeat of GBS, serine-rich repeat proteins), the three-dimensional structures of known streptococcal proteins ( $\alpha$ C protein, C5a peptidase) have been solved, and an understanding of the pathogenetic role of “old” and new determinants has been better defined in recent years. Recently, a 39kDa Invasion Inhibitory Factor (IIF) was isolated from GBS playing an important role in its invasion. A homogeneous non-toxic 39 kDa factor from the cytosol of GBS showing a homology with xenobiotic response element type transcriptional regulator protein adds another quill to the GBS protein panama, thus indicating that such protein molecules can be efficiently explored as suitable vaccine candidates. These observations add a novel aspect to bacterial pathogenesis where bacteria’s own intracellular protein component can act as a potential therapeutic candidate by decreasing the severity of disease thus promoting its invasion inhibition.

**Keywords:** group B streptococcus (GBS), *Streptococcus agalactiae*, pathogenesis, cytosolic proteins, invasion

## 1. Introduction

Fry, in 1938 was the first to report Lancefield Group B  $\beta$ -hemolytic streptococci in three patients with puerperal sepsis [1]. After that, many sporadic cases were reported from different parts of the world in next 30 years but still this organism remained unexplored and unnoticed for most of the clinicians [2–4]. Then after reports of emerging GBS infections in neonates was followed up by increasing reports of infections in neonates followed by reports from pregnant women with localized uterine infection or chorioamnionitis commonly associated with bacteremia. The prognosis was found good with antimicrobial therapy. In other adults, the underlying infection often leads to fatality [5]. Till the 1990s, the scenario of GBS infection was the same, then after there was a substantial decline in reports of GBS infections. Current nomenclature designates polysaccharide antigens as type antigens with antigenically distinct types, Serotype Ia through IX, now are characterized. Complete genome sequence of type III and V (most common and virulent serotypes) opened new avenues for identification of novel potential vaccine targets [6, 7]. Early concepts suggested a thick, rigid peptidoglycan layer external to the cytoplasmic membrane surrounded by concentric layers of cell wall antigens. In accordance with the Lancefield's classification, there are different Group specific carbohydrates. These group specific carbohydrates were initially thought to be covered by a type-specific capsular polysaccharide (CPS), which was further deciphered by a study model showing evidences where group B carbohydrate and the CPS are linked independently to cell wall peptidoglycan [8]. Immunoelectron techniques using reference strains with homologous type-specific antisera reveals abundant CPS on Lancefield prototype strains Ia, II, III, IV, V, and VI, whereas less dense capsules are found on type Ib [9–11]. Studies also reveal that the expression of these capsular structures can be regulated by altering the cell growth. In addition, the ultrastructural studies using immunogold labeling and transmission electron microscopy shows that C protein also has a surface location along with GBS pilus-like structures that extend from the bacterial surface [12, 13].

## 2. GBS disease outcomes

GBS is also known to be a leading cause of pneumonia and sepsis in newborns which can lead to fatal complications. As a resident of the maternal genital tract, during delivery, it may become a major cause of colonization and infection in the newborns. The neonate gets exposed to this organism through the birth canal through an ascending route in-utero via the intact or ruptured membranes, thus leading to neonatal infections. A vertical transmission of 29–85% with a mean rate of approximately 50% was reported among newborns born to women from whom GBS was isolated either from their vagina or rectum or both during delivery. In contrast, only 5% of neonates are reported to be asymptotically colonized at one or more sites during their first 48 h of life from mothers who are culture negative for GBS [14]. The risk of a neonate acquiring colonization by the vertical route correlates directly with the density of colonization (inoculum size). Majorly the transmission route is fecal oral. The GBS colonization acquired vertically or horizontally in neonates or young infants usually persists for weeks or months.

The mode of transmission likely is fecal-oral. Whether acquired by vertical or horizontal mode, colonization of mucous membrane sites in neonates and young infants usually persists for weeks or months [15].

It has also emerged as the third most common cause of infantile pyogenic meningitis [1, 2]. Exposure of pregnant females to this organism in developed and developing countries seem to be similar however, it is confusing to see an apparent lower incidence of GBS in less developed or developing countries. The data shows that in developed countries, neonatal GBS disease occurs 0.4–1.4 per 1000 live births with a fatality of up to 60%. Studies conducted in different centers during the 1990's in developing nations fail to identify this pathogen [16]. Recent studies in Malawi, however had mixed results showing GBS as an important cause of neonatal sepsis [17] while very few studies are from India, showing 6.2% Early onset disease (EOD) burden and Nigeria still fail to report any disease burden [18–20]. Several reasons are hypothesized that why the disease burden may be low in certain developing countries. First, there may be low maternal GBS colonization, which could then lead to low neonatal disease burden. Secondly, poor or less awareness among the pregnant mothers for GBS testing during their course of pregnancy. Few studies conducted in developing countries have reported quantitative maternal genital colonization, and those that have, reported a low prevalence of maternal GBS colonization [21]. In 2002, the implementation of guidelines to prevent early onset neonatal sepsis and screening at 35–37 week of gestation of pregnant women tremendously decreased the incidence of GBS infections [22]. Maternal postpartum sepsis and infective endocarditis are also important complications associated with GBS infections [5, 23, 24]. In the recent years, osteomyelitis and septic arthritis often involving the knee, hip, or shoulder joints are also part of the GBS disease spectrum specially seen in adults [25].

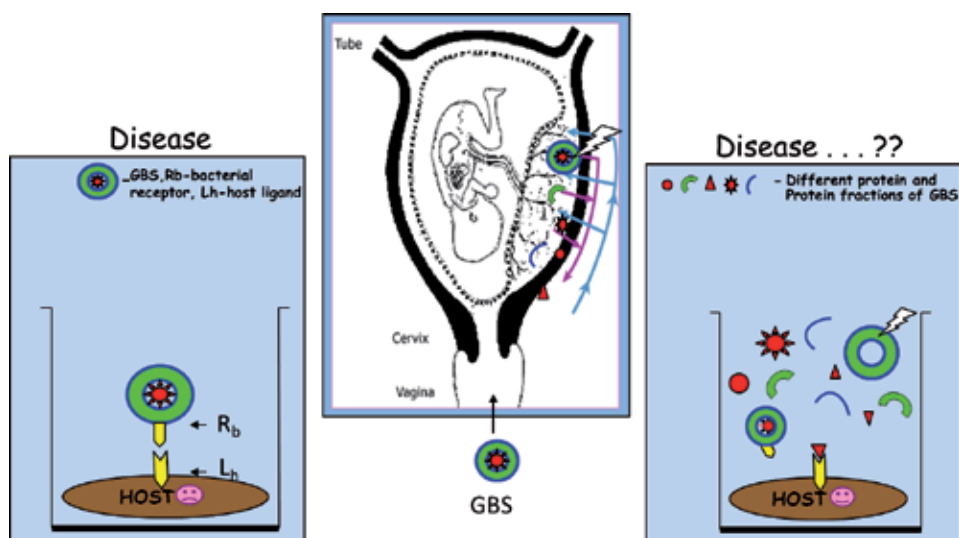
## **2.1 Host-bacterial interactions in pathogenesis**

Pathogenesis of any organism is a multistep, sequential invasion in the host cells mediated by specific molecules (may it be proteins, lipids or carbohydrate-protein complexes), which bring about the pathogen-host cell interaction by standard receptor-ligand interactions. Group B Streptococcus pathogenesis is also thought to be a multistep process [26]. In the ocean of many other pathogenic bacteria, GBS encodes a number of virulence factors for its pathogenesis. The colonization and breaching of mucosal surfaces by GBS thus allows its entry to normally sterile sites like blood stream, CNS and fetal membranes [27, 28]. The main virulence factor of GBS is thought to be pore forming toxins (Beta hemolysins/cytolysins and CAMP factor) and sialic acid rich CPS. Their virulence potential is because of its antiphagocytic properties [29]. Till date, nine serotypes (I to IX) on the basis of the capsular polysaccharide have been reported. The CPS also has a pivotal role in preventing complement activation, therefore does not influence adherence of GBS to epithelial cells but does reduce internalization [30]. Previous reports have shown that Serotype III accounts for approximately 50% of all neonatal infections as well as approximately 90% of cases of neonatal meningitis in US [31, 32]. Our earlier study has also shown that Type III isolates are more predominant as compared to other serotypes both in their invasiveness and biofilm formation [33]. Despite the advancement of the understanding about various virulence factors, their understanding on the regulation and use of these virulence tools has not yet been much explored. Thus, intensive investigations are done to elucidate the pathogenesis of GBS infection in neonates. The exclusive clinical features of GBS infection pose several questions that provide an agenda for hypothesis development (a hypothetical model) and experimental testing (**Figure 1**):

1. How does the organism colonize pregnant women and gain access to the infant before or during delivery?
2. How do these bugs gain entry to the bloodstream and cross the blood–brain barrier?
3. How does GBS evade host innate immune defenses?
4. What factors of GBS induce sepsis?
5. Is there any role of intracellular factors of GBS in its pathogenesis?
6. How does the regulation of virulence factors occur during infection?

Some advancement in knowledge of pathogenesis has been achieved through development of cell culture systems and animal models. Many cell surface proteins, and other moieties including lipid moieties have been studied for their role in host-pathogen interactions. However, not much about the cytosolic proteins of GBS is known. The group B streptococcal virulence factors defined to date, with proposed role in pathogenesis, are shown in **Table 1** and discussed briefly below.

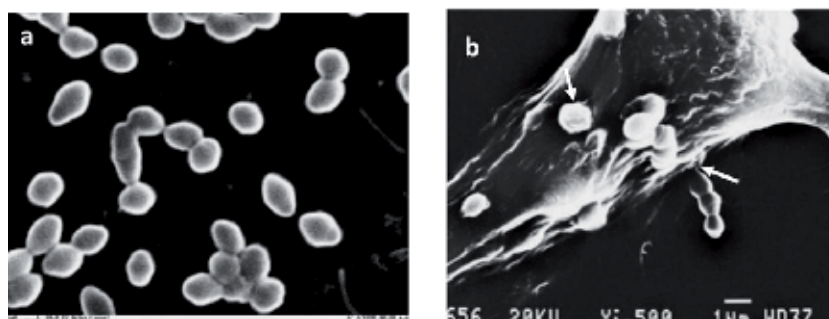
The process of human infection by group B Streptococcus (GBS) is complex and multifactorial. Adhesion and invasion of streptococci into the host cell involves a number of pathogen-host cell interactions (**Figure 2**). Their entry and survival inside the respiratory epithelial cells may represent a mechanism by which these bacteria gain access into the blood circulation [35–37]. Two main cell types, respiratory epithelial cells and resident alveolar macrophages, are encountered by GBS infecting the lung [38–40]. The former is the sentinel barrier for the streptococcal transcytosis into deeper tissues and thereafter into the bloodstream. Streptococcal surface-associated proteins are critically important in the host-pathogen relationship as they can provide initial contact of the bacteria with its intended host before internalization [41]. An immunologic response is generated once GBS penetrates into lung tissue or bloodstream of newborn infant. This is followed by invitation to



**Figure 1.**  
Hypothetical model of host-pathogen interaction of GBS.

Virulence factor	Role in pathogenesis
<b>Host cell adherence and invasion</b>	
C surface protein	Adherence and invasion of epithelial cells
Lipoteichoic acid	Attachment of epithelial cells
Fibrinogen receptor, FbsA	Attachment of epithelial cells
C5a peptidase	Adherence and invasion of epithelial cells
Surface protein Lmb	Attachment of epithelial cells
Spb1 surface protein	Invasion of epithelial barriers
iagA gene	Promotes blood brain barrier invasion
<b>Host tissue insult</b>	
Beta-hemolysin/cytolysin	Damage and spread through tissues
Hyaluronate lyase	Promotes spread through host tissues
CAMP factor	Direct tissue injury
<b>Molecules in immune evasion</b>	
Exopolysaccharide capsule	Blocks opsonophagocytic clearance
C5a peptidase, ScpP	Inhibits neutrophil recruitment
CAMP factor	Impairment of antibody function
Serine Protease, CspA	Blocks opsonophagocytosis
Fibrinogen receptor, FbsA	Blocks opsonophagocytosis
C Protein	Blocks opsonophagocytosis
Beta-Hemolysin/cytolysin	Impairment of phagocyte killing
Superoxide dismutase	Impairment of oxidative burst killing
Carotenoid pigment	Impairment of oxidative burst killing
Dlt operon genes	Interferes with antimicrobial peptides
Penicillin binding protein Ia	Interferes with antimicrobial peptides
<b>Molecules as inflammatory mediators</b>	
Cell wall LTA	Cytokine activation
Cell wall peptidoglycan	Cytokine activation
Beta Hemolysin/cytolysin	Triggers iNOS and Cytokine release

**Table 1.**  
 GBS virulence factors and their role in pathogenesis.



**Figure 2.**  
 Scanning electron micrograph (SEM) of (a) GBS, (b) GBS adhering to and invading into A549 cells (courtesy: Ohri et al. [34]).

host phagocytic cells like neutrophils and macrophages leading to bacterial uptake and opsonization by specific antibodies in the presence of complement [42–44]. Primarily sialic acid derivatives i.e. sialylated Group B Streptococcal polysaccharide capsule are the one to confront for opsonization mediated phagocytic killing followed by the other serotype specific epitopes of GBS capsular polysaccharide (CPS). It is also suggested that GBS may be chiefly a taxing human pathogen because its sialylated capsule has undergone selection to resemble host ‘self’ thus avoiding immune recognition. Surface proteins of GBS have high efficiency to avoid

opsonophagocytosis along with CPS. C protein or its components resist phagocytic killing and inhibits its interaction with complement or IgG [45]. A cell surface protease CspA, targets host fibrinogen producing adherent fibrin like cleavage products that coat the bacterial surface and interfere with opsonophagocytic clearance [46].

With a big pool of virulence factors encrypted by GBS, it has been confirmed to adhere to a variety of eukaryotic cellular structures. ECM proteins including laminin, fibronectin, fibrinogen, cytokeratin and plasminogen facilitates interaction with host-cell surface integrins thus promoting the entry of GBS into the varied host cells [41]. The initial step of adherence is thought to be mediated by a number of bacterial moieties such as laminin binding proteins, C5a peptidase, glyceraldehyde phosphate dehydrogenase,  $\alpha$ -enolase and lipoteichoic acid [47]. In addition to adherence facilitating moieties, alpha C protein and invasion associated gene (*iagA*) are important molecules in the process of GBS invasion in host cells. Genome-wide phage display technique revealed a fibronectin-binding property associated with the surface-anchored group B streptococcal C5a peptidase, ScpB [48]. This dual functionality of ScpB was confirmed by decreased fibronectin binding of isogenic ScpB mutants and the direct interaction of recombinant ScpB with solid-phase fibronectin [48, 49]. Similar targeted mutagenesis studies showed that adherence of GBS to laminin involves a protein adhesin called Lmb [50], repetitive motifs within the surface-anchored protein FbsA mediates attachment to fibrinogen [51], and binding to human keratin 4 is carried out by the serine rich repeat domain protein Srr-1 [52]. Recently, GBS were revealed to express filamentous cell surface appendages known as pili [36]. Pili mediate GBS resistance to AMP's (antimicrobial peptides) and also aid in its attachment to the host cells. Two genetic loci have been found on GBS genome, which are responsible for pilus like structures. Among eight sequenced GBS genomes, not all genomes contain both loci [53]. One of these islands includes genes encoding PilB, an LP(x)TG motif-containing protein that polymerizes to form a pilus backbone and is the major structural component of GBS pili, along with accessory pilus proteins PilA and PilC [53, 54]. Isogenic GBS mutants lacking PilA or PilC showed decreased adherence to epithelial cells, but not mutants lacking the PilB backbone. In addition, the crystal structure of PilC reveals a specific IgG-like fold domain (N2) required for epithelial cell binding [54]. Upon bacterial binding to the host cell receptors, recruitment of host-cell actin to the site of bacterial entry has been observed [55, 56]. However, there are some studies which have shown that certain bacterial surface proteins like type III CPS and the N-terminal region of the alpha C protein partially mask the specific components of GBS that are critical for adherence/invasion of eukaryotic cells [29, 57, 58]. Thus decreasing the adherence and invasion efficiency of GBS to host cells. Similarly, Burnham et al., showed prior treatment of the epithelial cells by exogenous addition of phosphoglycerate kinase (PGK, a cell surface and a cytosolic protein of GBS) inhibited GBS internalization [40]. PGK as a major outer surface protein of GBS which showed a similar inhibitory effect using saccharomyces derived PGK in Type V GBS invasion. PGK from other sources like *Candida albicans* and *Schistosoma mansoni* has also been used to study host-pathogen interactions specifically invasion and adherence mechanisms [58–60]. Boone et al. [57] showed GBS-PGK released from the bacterial cell binds to plasminogen and actin. These secreted proteins demonstrate an interaction between the bacterial protein and their host cell receptors [61]. However, as reported by Hulse et al. [62] Type III capsular polysaccharide is also reported to attenuate invasion if pre-incubated with the host cells. A similar study was performed with Lactoferrin, an antimicrobial peptide, showing its invasion inhibitory activity on a broad range of organisms including streptococcus [61]. There are many other studies which report that cell surface molecules can also be used to inhibit adherence and invasion in bacteria. A recent published study



from our lab has reported the role of a cytosolic protein in inhibition of invasion of GBS into eukaryotic epithelial cells [34]. A 39 kDa invasion inhibitory factor (IIF) isolated from cytosol of GBS showed almost 70–80% reduction in invasion as compared to the crude cytosolic fractions indicating an anti-internalization mechanism. N-terminal sequence showed its homology with a xenobiotic response element(XRE) type transcriptional regulator protein. This family of transcription factors controls various metabolic functions in the bacteria, thus emphasizing on its probable role in pathogenesis as well [63]. Studies like these raise a question as to how an organism can itself contain or manufacture such a factor which can inhibit its own mechanism of pathogenesis thus indicating that bacteria's own components can also play an important role in its adherence and invasion process.

As most pregnant women have low concentrations of type-specific IgG in their sera, immunization of women during adolescence, before pregnancy, or in late pregnancy (i.e., early third trimester) would be the best approach for immunoprophylaxis [64]. In view of the substantial disease burden in nonpregnant adults, targeted adult immunization (e.g., diabetics or adults “65 years old”) also is an attractive prevention strategy. GBS serotypes Ia, III, and V are reported to be most invasive forms to cause disease in infants and adults followed by serotypes Ib and II that account for 75–85% of infections [65–68]. The production of a trivalent or a pentavalent conjugate vaccine is technically achievable. The cost of developing suitable vaccines, although substantial, is considerably less than the death, disability, and treatment associated with these infections [69, 70]. In 2014, World Health Organization convened the first meeting for consultation on GBS vaccine development, focusing on the GBS maternal immunization program, which was aimed at reducing infections in neonates and young infants worldwide [70].

### **3. Conclusion**

Despite the availability of the genome sequence of GBS, advances have been made in deciphering the various facets of molecular mechanisms involved in disease pathogenesis. This has taken our knowledge a step forward in knowing the pivotal role of certain molecular targets which can be explored as target vaccine candidates. Though, GBS being a commensal and an adaptable organism which adjusts its niche according to the environment, it fine tunes its gene expression for its pathogenesis paradigms. Thus, it becomes more imperative to understand how this pathogen responds to its external environment to appropriately express this large repertoire of factors for colonization or invasion of the host tissue targets, which is still under infancy. As it is commonly said ‘Prevention is better than Cure’, thus to prevent GBS disease the physicians, public health officials, parents, and patients must join hands and campaign for pregnant women, neonates and young infants, and at-risk adults.

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### **Conflict of interest**

There are no conflicts of interest.

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## Section 2

# Antimicrobial Resistance





# Nemonoxacin (Taigexyn<sup>®</sup>): A New Non-Fluorinated Quinolone

Li-Wen Chang, Ming-Chu Hsu and Ying-Yuan Zhang

## Abstract

Nemonoxacin (Taigexyn<sup>®</sup>), a novel C-8-methoxy non-fluorinated quinolone, has been approved for use in community-acquired pneumonia (CAP) in Taiwan (2014) and mainland China (2016). The FDA granted nemonoxacin ‘qualified infectious disease product’ and ‘fast-track’ designations for CAP and acute bacterial skin and skin structure infection in December 2013. It possesses a broad spectrum of bactericidal activity against typical and atypical respiratory pathogens. In particular, nemonoxacin has activity against resistant Gram-positive cocci, including penicillin-resistant *Streptococcus pneumoniae* and methicillin-resistant *Staphylococcus aureus*. Oral nemonoxacin was compared with oral levofloxacin for efficacy and safety in three randomized, double-blinded, controlled Phase II–III clinical trials for the treatment of CAP. This article will review the microbiological profile of nemonoxacin against respiratory pathogens including *S. pneumoniae* and *S. aureus*, and microbiological outcome data from the three Phase II–III studies.

**Keywords:** community-acquired pneumonia, Gram-positive bacteria, levofloxacin, nemonoxacin, novel antimicrobial, resistant pathogens

## 1. Introduction

Lower respiratory tract infections (LRTIs), which include community-acquired pneumonia (CAP), are the fourth leading cause of death worldwide and the first leading cause of death in low-income countries, causing 3.0 million deaths worldwide in 2016 [1]. CAP is a common condition that causes a significant disease burden for the community, particularly in children younger than 5 years, the elderly and immunocompromised people [2].

Most studies about aetiology show that *Streptococcus pneumoniae* (*S. pneumoniae*) remains the most frequently isolated pathogen in CAP patients [3, 4]. The relative frequency of other typical pathogens include *Haemophilus influenzae* (*H. influenzae*), *Moraxella catarrhalis* (*M. catarrhalis*), and *Klebsiella pneumoniae* (*K. pneumoniae*) [1, 4, 5], as well as atypical organisms include *Mycoplasma pneumoniae* (*M. pneumoniae*), *Chlamydia pneumoniae* (*C. pneumoniae*), and *Legionella pneumophila* (*L. pneumophila*) [6–10]. Recently, methicillin-resistant *Staphylococcus aureus* (MRSA) is becoming a major pathogen of CAP and causing a rapidly fatal pneumonia characterized as pulmonary haemorrhage and rapid progression to respiratory failure [11–13]. The increasing prevalence of antibiotic resistance in CAP caused by penicillin-intermediate *S. pneumoniae* (PISP) and penicillin-resistant *S. pneumoniae* (PRSP) are also of great concern [13].

All patients with CAP should initially be treated with empirical antibiotic(s) because specific pathogens are typically not identified at the time that antibiotic therapy is initiated. Several retrospective studies have shown that pathogens were not isolated or identified in more than 50% of patients exhibiting clinical signs and symptoms of pneumonia [14–17]. Furthermore, increasing incidence of antibiotic resistance (major in penicillin, cephalosporin, and macrolide resistance) observed in bacteria causing CAP has resulted in higher treatment failures and poorer medical outcomes for many patients with CAP [15]. A retrospective analysis indicated that the treatment failure of penicillin-based therapy was higher than that of fluoroquinolone-based therapy for CAP in an outpatient clinic basis [18]. The current recommendations for the management of community acquired pneumonia indicated that monotherapy with a respiratory fluoroquinolone as an appropriate empirical treatment for adult CAP inpatients and complicated CAP outpatients with risk factors, more severe disease, or recent use of antibiotics [19].

Nemonoxacin (NEMO), a novel C-8-methoxy non-fluorinated quinolone, exhibits the bactericidal action by inhibition of the topoisomerase II (DNA gyrase) and topoisomerase IV which are required for bacterial DNA replication, repair, transcription, and recombination. The mechanism of action for quinolones, including NEMO, is different from that of aminoglycosides, beta-lactams, macrolides or tetracyclines; therefore, microorganisms resistant to these classes of drugs may be susceptible to NEMO. Resistance to fluoroquinolones occurs majorly by a mutation in DNA gyrase and/or topoisomerase IV genes, altered drug permeation through efflux transporter [20]. Mutations in two quinolone resistance-determining regions (QRDR) of genes encoding DNA gyrase (*gyrA* and *gyrB*) and topoisomerase IV (*parC* and *parE*) cause resistance to fluoroquinolones [21, 22]. However, bacteria resistance to NEMO only occurred when three different mutations was found in their QRDR genes [23]. Thus, NEMO has a higher barrier for generating resistant pathogens compared to other fluoroquinolones. *In vitro* resistance to NEMO develops slowly and difficultly via multiple-step mutations [24, 25].

NEMO has shown broad spectrum activity both *in vitro* and *in vivo* against Gram-positive and Gram-negative bacteria [25–30], particularly multi-drug resistant Gram-positive bacteria such as PRSP and MRSA. NEMO also exhibits potent antibacterial activity against Gram-negative bacteria and atypical pathogens such as *H. influenzae*, *M. catarrhalis*, *M. pneumoniae*, *C. pneumoniae*, and *L. pneumophila in vitro* [26, 31]. Oral NEMO (500 mg) has been approved for treatment of adult CAP patients in Taiwan (2014) and mainland China (2016) [32, 33]. In December 2013, the U.S. Food and Drug Administration (FDA) granted NEMO with ‘qualified infectious disease product (QIDP)’ and ‘fast-track’ designations for CAP and acute bacterial skin and skin structure infection [34]. NEMO (intravenous formulation) also submitted its new drug application (NDA) in May 2017, and granted priority review by the China FDA in February 2018 [35, 36].

An integrated analysis of one Phase III (registration number: NCT01529476) and two Phase II studies (registration numbers: NCT00434291 and NCT01537250) was conducted to compare the commercial dose of oral NEMO 500 mg vs. oral levofloxacin (LEVO) 500 mg for CAP treatment [37–39]. This article will review the integrated efficacy results of NEMO vs. LEVO against the common respiratory pathogens isolated from the three Phase II–III trials. LEVO was chosen as the comparator because it is commonly prescribed worldwide and it is recommended in guidelines for the treatment of CAP.

## 2. Materials and methods

### 2.1 Ethical approval

One Phase III study was conducted between March 2011 and August 2012 at 53 centres in China and Taiwan [study number: TG-873870-C-4 (study C4)]; one Phase II study was conducted from August 2009 to August 2010 at 26 centres in China [study number: TG-873870-C-3 (study C3)]; the other Phase II study was conducted from December 2006 to September 2007 at 19 centres in the Republic of South Africa and Taiwan [study number: TG-873870-02 (study 02)]. Three studies were conducted in accordance with International Conference on Harmonization Guidelines, the Declaration of Helsinki, and the Good Clinical Practice. The protocols and sample informed consent form were approved by the Institutional Review Board of each participating study site. Written informed consent was provided by all patients or their legally authorized representatives prior to screening/study enrollment.

### 2.2 Study design

All three studies were designed as multicenter, randomized, double-blind, double-dummy, active comparator-controlled trials to assess the non-inferiority of NEMO vs. LEVO for the treatment of CAP in adult patients.

Eligible patients were randomized assigned in a 2:1 ratio to receive either NEMO 500 mg or LEVO 500 mg in the phase III trial, and in a 1:1:1 ratio to receive either NEMO 500 mg or 750 mg, or LEVO 500 mg in the two phase II trials. All drugs were orally administered once daily for 7–10 days. To be evaluable, the test-of-cure (TOC) assessments had to occur between 7 and 21 days after administration of the last dose of study medication. This article will review the integrated efficacy results of three Phase II–III trials comparing the commercial dose of NEMO 500 mg vs. LEVO 500 mg for CAP treatment.

### 2.3 Eligibility criteria

Adult subjects were eligible if they had a clinical diagnosis of CAP (defined as fever, elevated white blood cell count, cough, purulent sputum, dyspnoea or tachypnoea, chest pain, pulmonary consolidation, etc.), had a chest radiograph demonstrating new or persistent/progressive infiltrate, and suitable for outpatient therapy with an oral antimicrobial agent.

Patients were excluded if they had any of the following conditions: severe CAP (e.g. requiring invasive endotracheal ventilation or vasoconstrictor due to septic shock), other pneumonia infection (e.g. hospital-acquired pneumonia, viral pneumonia, aspiration pneumonia), history of lung diseases (e.g. active tuberculosis, bronchiectasis, cystic fibrosis, lung abscess, lung cancer, post-obstructive pneumonia), history of hypersensitivity or allergic reactions to any quinolone, history of cardiac diseases (e.g. QTc prolongation, clinically significant abnormality on a 12-lead electrocardiogram at screening), clinically significant renal, hepatic or mental disease, malabsorption syndrome, and received prohibited medications prior enrollment (e.g. other investigational drug, systemic antibacterial agent, chemotherapeutic agents or oncolytics).

Subjects could be withdrawn from the study at any time, for any reason, and without prejudice to further treatment. The criteria for enrollment were to be followed explicitly. If a patient who did not meet enrollment criteria was inadvertently enrolled, that patient was withdrawn from the study. An exception could

have been granted in rare circumstances where there was a compelling safety or ethical reason to allow the patient to continue. In these rare cases, the Investigator was required to obtain documented approval from Sponsor to allow the subject to continue in the study.

## 2.4 Efficacy assessment

Clinical response at the TOC visit was the primary efficacy endpoint for the three CAP studies. Clinical response was defined as cure (complete resolution or improvement of all pneumonia-related signs and symptoms that existed during enrollment, with chest radiographs improved or not worse, no further antibiotic therapy required, and no new sign and symptoms occurred), failure (persistence or worsening of sign and symptoms of pneumonia, additional treatment with a non-study antibiotic for pneumonia, or progression of chest radiograph abnormalities) or unevaluable (lost to follow-up or withdrew consent which made it lost post-treatment information, failed to complete at least 3 days of treatment, or had an infection other than pneumonia judged by the investigator).

Microbiological response at the TOC visit was the secondary efficacy endpoint for the three CAP studies. Microbiological success was defined as eradication (the baseline pathogen was absent) and presumed eradication (if an adequate source specimen was not available to culture, but the patient was assessed as clinically cured). Microbiological failure was defined as persistence and presumed persistence of the baseline pathogen.

## 2.5 Microbiological evaluations

Baseline bacterial cultures were taken from the primary site of infection (e.g. sputum expectoration), together with 2 sets of blood cultures obtained within 24 h before patients received the 1st dose of study drugs.

Sputum samples were collected by expectoration after deep coughing. Fresh specimens collected under the supervision of the investigator were immediately transported to a local laboratory for Gram stain. Cultures were only performed on specimens if the Gram stain revealed <10 squamous epithelial cells and >25 leukocytes per low-power field. All isolates identified at the local laboratory from such specimens were then sent to the central laboratory for re-identification and susceptibility testing using CLSI methodology. Only the central laboratory microbiology results were utilized in the database. The only exception was if a local laboratory specimen had become unavailable. MICs of NEMO and LEVO were determined for all isolates.

Serology tests for *M. pneumoniae*, *C. pneumoniae*, and *L. pneumophila* were performed at both baseline and TOC visits. Urine samples were also collected to identify *L. pneumophila* by antigen testing at the baseline visit.

## 2.6 Statistics

Non-inferiority (NI) of NEMO to LEVO was evaluated for clinical response by using 2-sided 95% confidence interval (CI) for the true difference in clinical cure rate (NEMO minus LEVO), with clinical cure or failure determined at the TOC visit. NI was concluded if the lower limit of the 2-sided 95% CI was not lower than -10% for the phase III study (lower limit of 95% CI  $\geq$  -10%), and not lower than -15% for the two phase II studies (lower limit of 95% CI  $\geq$  -15%).

### 3. Integrated results

#### 3.1 Clinical responses

The clinical responses at the TOC visit for NEMO 500 mg compared to LEVO 500 mg are outlined in **Table 1**. The integrated analysis of clinical cure rate for NEMO was 93.0% compared with 91.9% for LEVO.

All three studies met its clinical endpoint by confirming the non-inferiority of NEMO 500 mg compared with LEVO 500 mg. In the primary population with evaluable assessment at TOC visit, the clinical cure rates for NEMO and LEVO were 94.3% (300/318) and 93.5% (143/153), respectively, in study C4; 93.3% (56/60) and 88.5% (46/52), respectively, in study C3; and 87.0% (67/77) and 91.1% (72/79), respectively, in study 02. The treatment differences (95% CI) between NEMO and LEVO were 0.9% (−3.8%, 5.5%) in study C4, 4.9% (−5.9%, 15.6%) in study C3, and −4.1% (−13.9%, 5.7%) in study 02. Thus, in the three studies, NEMO was found to be non-inferior to LEVO because the lower limit of the 95% CI of the treatment difference was  $\geq -10\%$  in the phase III study and  $\geq -15\%$  in both phase II studies. Non-inferiority of NEMO 500 mg to LEVO 500 mg was demonstrated.

#### 3.2 Microbiological response

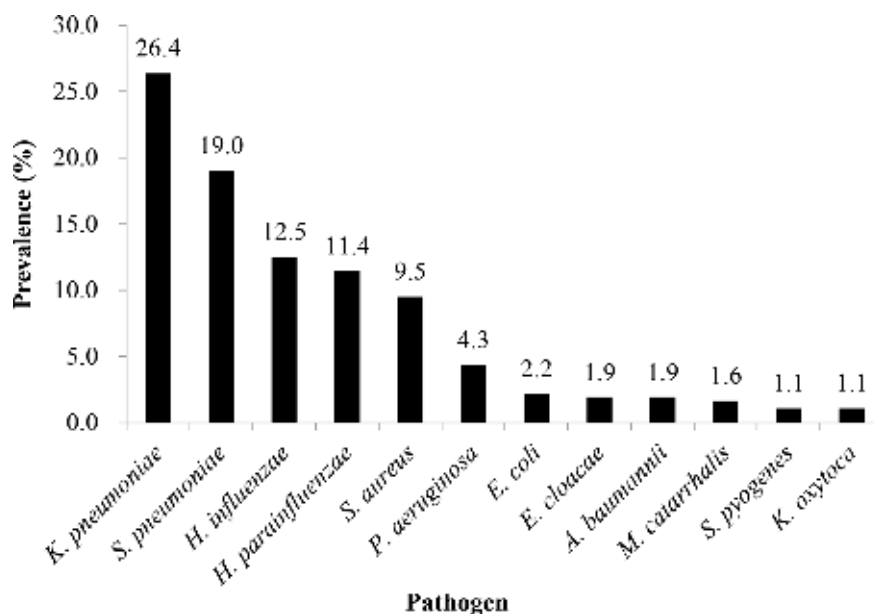
##### 3.2.1 Overall recovery rate

The overall recovery rate of pathogens (typical and atypical combined) in all randomized patients was 57.0% (504/989). This included pathogens identified in

Population	Clinical response	NEMO n (%)	LEVO n (%)	Differences % (95% CI)
Integrated analysis				
Integrated-primary population	Cure <sup>a</sup>	423 (93.0%)	261 (91.9%)	—
	Failure	32 (7.0%)	23 (8.1%)	
	Unevaluable	22 (—)	18 (—)	
Phase III Study-C4				
Primary population <sup>b</sup>	Cure <sup>a</sup>	300 (94.3%)	143 (93.5%)	0.9 (−3.8, 5.5)
	Failure	18 (5.7%)	10 (6.5%)	
	Unevaluable	10 (—)	7 (—)	
Phase II Study-C3				
Primary population <sup>b</sup>	Cure <sup>a</sup>	56 (93.3%)	46 (88.5%)	4.9 (−5.9, 15.6)
	Failure	4 (6.7%)	6 (11.5%)	
	Unevaluable	0 (—)	0 (—)	
Phase II Study-02				
Primary population <sup>b</sup>	Cure <sup>a</sup>	67 (87.0%)	72 (91.1%)	−4.1 (−13.9, 5.7)
	Failure	10 (13.0%)	7 (8.9%)	
	Unevaluable	12 (—)	11 (—)	

<sup>a</sup>Clinical cure rate =  $100 \times \text{number of patients with clinical cure} / (\text{number of patients with clinical cure} + \text{number of patients with clinical failure})$ . Unevaluable response was excluded.  
<sup>b</sup>Primary populations were modified intention-to-treat (mITT), full analysis set (FAS), and intention-to-treat (ITT) for TG-873870-C4, TG-873870-C3, and TG-873870-02 studies, respectively [35–37].

**Table 1.**  
 Clinical response at TOC in primary population.



**Figure 1.**  
Identification and prevalence of baseline pathogens in three CAP studies.

appropriate sputum specimen, blood, or other test such as urinary antigen test and atypical pathogen serology testing. The recovery rate for typical pathogens was 29.3% (290/989). These results were consistent with those observed in other CAP studies [38–42]. The most commonly identified pathogens in all randomized patients were *K. pneumoniae*, *S. pneumoniae*, *Haemophilus species*, and *S. aureus* (**Figure 1**).

### 3.2.2 Microbiological responses to individual pathogens

The per-pathogen responses of NEMO 500 mg and LEVO 500 mg for the most prevalent pathogens are outlined in **Table 2**. High clinical and microbiological response rates were achieved against the common CAP pathogens, with similar success rates between the two treatment groups.

The microbiological responses were evaluated in the primary populations who had at least one typical bacterial pathogen identified at baseline from an appropriate specimen. Microbiological eradication and presumed eradication were considered to be success responses. The microbiological success rates for the common baseline CAP pathogens (NEMO vs. LEVO) were 95.6% (22/23) vs. 90.0% (18/20) for *S. pneumoniae*, 95.2% (20/21) vs. 88.9% (8/9) for *S. aureus*, 92.9% (39/42) vs. 86.1% (31/36) for *K. pneumoniae*, and 90.7% (39/43) vs. 91.3% (21/23) for *Haemophilus species*.

Among the *S. pneumoniae* isolates, four were penicillin non-susceptible (PRSP and PISP), with three isolates identified in the NEMO group and one isolate in the LEVO group. The microbiological responses for penicillin non-susceptible *S. pneumoniae* were all success for both groups.

As expected in CAP, the isolation of MRSA was rare, with only 4 isolates identified in the NEMO group. Three out of four patients infected with MRSA had successful responses after receiving NEMO.

Overall, the clinical and microbiological responses for the most commonly identified pathogens were almost concordant. High clinical cure rates were achieved



Baseline pathogen	Clinical cure rate <sup>a</sup>		Microbiological success rate <sup>b</sup>	
	NEMO n1/n2 (%)	LEVO n1/n2 (%)	NEMO n1/n2 (%)	LEVO n1/n2 (%)
Gram-positive bacteria				
<i>Streptococcus pneumoniae</i>	22/24 (91.7%)	19/20 (95.0%)	22/23 (95.6%)	18/20 (90.0%)
PRSP	1 (100.0%)	1 (100.0%)	1 (100.0%)	1 (100.0%)
PISP	2 (100.0%)	—	2 (100.0%)	—
<i>Staphylococcus aureus</i>	20/21 (95.2%)	8/9 (88.9%)	20/21 (95.2%)	8/9 (88.9%)
MRSA	3/4 (75.0%)	—	3/4 (75.0%)	—
Gram-negative bacteria				
<i>Klebsiella pneumoniae</i>	40/42 (95.2%)	32/36 (88.9%)	39/42 (92.9%)	31/36 (86.1%)
<i>Haemophilus species</i>	39/43 (90.7%)	21/23 (91.3%)	39/43 (90.7%)	21/23 (91.3%)
<i>Escherichia coli</i>	5/5 (100.0%)	1/1 (100.0%)	5/5 (100.0%)	1/1 (100.0%)
<i>Moraxella catarrhalis</i>	2/2 (100.0%)	3/3 (100.0%)	2/2 (100.0%)	3/3 (100.0%)
<i>Pseudomonas aeruginosa</i>	6/7 (85.7%)	5/5 (100.0%)	6/7 (85.7%)	4/5 (80.0%)
<i>Acinetobacter baumannii</i>	5/5 (100.0%)	1/1 (100.0%)	5/5 (100.0%)	1/1 (100.0%)
Atypical pathogens				
<i>Mycoplasma pneumoniae</i>	90/97 (92.8%)	63/66 (95.5%)	—	—
<i>Chlamydia pneumoniae</i>	22/23 (95.7%)	16/16 (100.0%)	—	—
<i>Legionella pneumophila</i>	19/21 (90.5%)	8/8 (100.0%)	—	—

<sup>a</sup>Clinical cure rate = 100 × number of patients with clinical cure/(number of patients with clinical cure + number of patients with clinical failure). Unevaluable response was excluded.  
<sup>b</sup>Microbiological success rate = 100 × number of patients with success response/(number of patients with success response + number of patients with failure response). Unevaluable response was excluded.

**Table 2.**  
 Per-pathogen clinical and microbiological response at TOC in the integrated-primary population.

against not only typical bacteria but also atypical pathogens after NEMO treatment, with 92.8% (90/97), 95.7% (22/23), and 90.5% (19/21) for *M. pneumoniae*, *C. pneumoniae*, and *L. pneumophila*, respectively.

### 3.2.3 Antimicrobial susceptibility

The susceptibility of baseline pathogens isolated from the three CAP studies are outlined in **Table 3**. All isolates of *S. pneumoniae*, including PRSP and LEVO-resistant strains, were inhibited by NEMO at concentrations of ≤1 mg/L. The MIC<sub>90</sub> for *S. pneumoniae* were 0.125 mg/L for NEMO and 1 mg/L for LEVO.

NEMO was active against *S. aureus*, with MIC<sub>90</sub> of 0.25 mg/L compared with an MIC<sub>90</sub> of 2 mg/L for LEVO. Among the *S. aureus*, 5 isolates were MRSA, with MIC ranges of 0.03–1 mg/L for NEMO and 0.12–32 mg/L for LEVO. All isolates of *S. aureus*, including MRSA, were inhibited by NEMO at concentrations of ≤1 mg/L.

The *in vitro* activity of NEMO was comparable to that of LEVO against Gram-negative bacteria. But for Gram-positive bacteria including MRSA, the MIC<sub>s</sub> of NEMO were 8-fold lower than that of LEVO, supporting its utility in the treatment of patients with CAP.

Baseline pathogen (number of isolates)	NEMO (mg/L)			LEVO (mg/L)		
	MIC <sub>50</sub>	MIC <sub>90</sub>	Range	MIC <sub>50</sub>	MIC <sub>90</sub>	Range
Gram-positive bacteria						
<i>S. pneumoniae</i> (70)	0.12	0.12	≤0.015–1	1	1	0.03–8
PRSP (2)	—	—	0.12–1	—	—	1
LEVO-resistant (1)	—	—	0.5	—	—	8
<i>S. aureus</i> (35)	0.03	0.25	≤0.015–1	0.25	2	0.12–32
MRSA (5)	0.25	—	0.03–1	2	—	0.12–32
Gram-negative bacteria						
<i>K. pneumoniae</i> (97)	0.25	8	≤0.06–>32	0.06	4	≤0.03–>32
<i>H. influenzae</i> (46)	0.03	0.12	≤0.008–1	0.015	0.06	≤0.008–1
<i>H. parainfluenzae</i> (42)	0.12	2	≤0.008–4	0.06	1	≤0.008–8
<i>E. coli</i> (8)	1	—	≤0.06–>32	0.5	—	≤0.03–32
<i>M. catarrhalis</i> (6)	0.06	—	0.015–0.06	0.06	—	≤0.008–0.06
<i>P. aeruginosa</i> (16)	1	8	0.25–16	0.5	16	0.12–>32
<i>A. baumannii</i> (7)	0.25	—	0.12–1	0.12	—	≤0.06–1
<i>MIC</i> <sub>50</sub> = concentration of antibiotic (mg/L) required to inhibit 50% of bacteria; <i>MIC</i> <sub>90</sub> = concentration of antibiotic (mg/L) required to inhibit 90% of bacteria.						

**Table 3.**

In vitro activity of NEMO and LEVO against baseline isolates from patients enrolled in the three CAP studies.

## 4. Conclusion

Efficacy data reported herein from the individual and integrated analyses of the three CAP trials demonstrate that oral NEMO 500 mg administered once daily for 7–10 days is an efficacious treatment for adult CAP. Non-inferiority of NEMO 500 mg to LEVO 500 mg, a widely used agent in the clinical setting, was demonstrated in the three CAP studies. NEMO was effective in eradicating the typical pathogens associated with CAP, including high cure rates for atypical pathogens. Furthermore, the *in vitro* activity of NEMO against bacterial pathogens isolated from patients enrolled in the CAP clinical trials demonstrated a susceptibility profile that supports its utility in the treatment of patients with CAP.

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## Conflict of interest

Li-Wen Chang and Ming-Chu Hsu are employees of TaiGen Biotechnology Co., Ltd.

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# *Staphylococcus aureus* in the Meat Supply Chain: Detection Methods, Antimicrobial Resistance, and Virulence Factors

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## Abstract

*Staphylococcus aureus* (*S. aureus*) can cause a wide variety of infections in humans, such as skin and soft tissue infections, bacteremia, pneumonia, and food poisoning. This pathogen could be carried on the nares, skin, and hair of animals and humans, representing a serious problem at the hospital and the community level as well as in the food industry. The pathogenicity of *S. aureus* is given by bacterial structures and extracellular products, among which are toxins, which could cause staphylococcal diseases transmitted by food (SFD). *S. aureus* has the ability to develop resistance to antimicrobials (AMR), highlighting methicillin-resistant strains (MRSA), which have resistance to all beta-lactam antibiotics, except to the fifth-generation cephalosporins. Methicillin resistance is primarily mediated by three mechanisms: production of an altered penicillin-binding protein PBP2' (or PBP2a), encoded by the *mecA* gene; high production of  $\beta$ -lactamase in borderline oxacillin-resistant *Staphylococcus aureus* (BORSA); and mutations in the native PBPs, called modified *S. aureus* (MODSA). Emerging strains have been isolated from meat-producing animals and retail meat, such as MRSA, MRSA ST398 (associated with livestock), multidrug-resistant (MDR) *S. aureus*, and enterotoxin-producing *S. aureus*. Therefore, there is a risk of contamination of meat and meat products during the different processing stages of the meat supply chain.

**Keywords:** meat-producing animals, raw meat, antimicrobial resistance (AMR), methicillin-resistant *S. aureus* (MRSA), livestock-associated methicillin-resistant *S. aureus* (LA-MRSA), multidrug-resistant (MDR), enterotoxins, *mecA* gene

## 1. Introduction

In animal production, the emergence and the spread of antimicrobial-resistant pathogens have been associated with the misuse or overuse of antibiotics [1]. Those pathogens or the genes associated with antimicrobial resistance (AMR) could enter into the food supply chain through the food-producing animals and food handlers [2] and be transmitted to humans, threatening the effective treatments of infectious diseases [3].

*Staphylococcus aureus* has the ability to develop resistance to many commonly used antimicrobials. The first resistant *S. aureus* strains were isolated 2 years after

the introduction of penicillin; in this case the mechanism of resistance was the production of the enzyme  $\beta$ -lactamase. Subsequently, in 1959, the antibiotic methicillin was introduced, and the first strain of methicillin-resistant *S. aureus* (MRSA) was clinically identified in 1960 [4]. These strains are resistant to penicillins, cephalosporins, and all  $\beta$ -lactam antibiotics, except ceftaroline and ceftobiprol.

Methicillin resistance is caused primarily by three mechanisms. The classical mechanism implies the production of an altered penicillin-binding protein, PBP2' (also called PBP2a), which is encoded by the *mecA* gene. This protein has a lower affinity for  $\beta$ -lactam antibiotics, resulting in normal cross-linking of peptidoglycan strands during bacterial cell wall synthesis [5]. Currently, new *mecA* gene homologs have been described, such as *mecB*, *mecC*, and *mecD*, which may not be detected by conventional methods [6–8]. The borderline oxacillin-resistant *S. aureus*: (BORSA) is other mechanism in which the resistance to oxacillin is mediated by an increase of the  $\beta$ -lactamase production. The third mechanism is exhibited by modified *S. aureus* (MODSA), in which the resistance to methicillin is a consequence of modifications to their native PBPs, apparently by accumulation of mutations in the transpeptidase domains [9].

Different clones of MRSA have been recognized, such as health care-associated MRSA (HA-MRSA) [10], community-associated MRSA (CA-MRSA) [11], and livestock-associated MRSA (LA-MRSA) [12].

This pathogen can cause different diseases, such as skin and soft tissue infections, bacteremia, pneumonia, and food poisoning [13, 14].

*Staphylococcus aureus* can colonize the nares, skin, and hair of animals and humans [15]. The transmission can occur either through direct contact with infected animals or humans or with asymptomatic carriers [16]. In addition, MRSA strains have been isolated from different animals, such as pigs, cattle, and poultry [1, 17, 18] and from retail raw meat [19, 20]. In recent years, raw meat has been considered as an important means by which people who have no contact with livestock can be colonized with *S. aureus* from animals, therefore, act as a vehicle of transmission of this bacteria [21].

Moreover, multidrug-resistant (MDR) *S. aureus* strains have been detected in animals and meat [20, 22, 23], and MRSA serotype (ST) 398 has been detected primarily in pigs associated also with infections in humans [12, 24].

The food poisoning is caused by eating foods contaminated with heat-stable enterotoxins produced by *Staphylococcus aureus*. Enterotoxin-producing *S. aureus* strains have been isolated from different food samples [23, 25, 26].

Therefore, the ability of *S. aureus* to colonize humans and animals and the detection of MRSA, MDR, enterotoxin-producing, and other emerging *S. aureus* strains in meat-producing animals and retail meat have increased the concern about the spread of those strains into the food supply chain [23, 26, 27]. At present, the international trade of products of animal origin is an important aspect to consider in the global dissemination of this pathogen. Thus, the countries have different regulations that tend to achieve a high level of food safety, in order to protect the health of consumers [28].

The aim of this chapter is to provide information about the detection, prevalence, characteristics, molecular typing, antimicrobial susceptibility, and the mechanisms of antimicrobial resistance of *Staphylococcus aureus* strains isolated from the meat supply chain.

## **2. Methods of detection and identification of *Staphylococcus aureus* and methicillin-resistant *Staphylococcus aureus* (MRSA) in animals and meat**

Different culture methods have been used to detect *S. aureus*, and although conventional microbiological procedures are laborious, they are still considered standard methods for the detection and confirmation of the presence of *S. aureus*.

The test API® Staph has been shown to be a reliable method for phenotypic characterization, as other methods have had a lower precision [29]. In addition, the biochemical identification of *S. aureus* using the Sensititre™ automated system had a 100% agreement with the PCR technique by the detection of the 16S rRNA-encoding gene [20], using two selective enrichment steps preceding plating in selective agars, which seems to enhance the detection rate of MRSA [27].

According to Kateete et al. [30], there is no only phenotypic test (including the coagulase test) that can guarantee reliable results in the identification of *Staphylococcus aureus*.

In the past decades, methodologies, such as phage typing and genotyping were used. However, these techniques have disadvantages since they are time-consuming and can only be performed in specialized laboratories by trained professionals. Nowadays, more simple and precise techniques are being used, such as the detection by PCR technique, which has been used as the “gold standard” method to identify pathogens. *Staphylococcus aureus* could be confirmed by the detection of the *nuc* gene, which encodes a species-specific extracellular thermostable nuclease protein of *S. aureus*. Brakstad et al. [31] demonstrated that the detection of the *nuc* gene allows the identification of 100% of the isolates of *S. aureus*, using less than 0.69 pg. of chromosomal DNA or 10 bacterial CFU cells. In the study carried out by Velasco et al. [23], an agreement of 75% between the biochemical test API® Staph and the PCR technique (detection of *nuc* gene) was determined in confirmation of *S. aureus*. A higher agreement could be reached considering a criterion of a higher probability of detection in API® Staph test.

In relation to the detection and identification of MRSA, there are different methods that have been used, mainly, in clinical laboratories. Among these tests one can mention the determination of minimum inhibitory concentrations (MIC) (dilution in agar or dilution in broth and Etest), oxacillin detection agar (OSA) [32–34], and detection of the protein PBP2' by the latex agglutination test [32, 35, 36]. This last test has an accuracy as high as the PCR method and greater than susceptibility testing method to confirm MRSA [37]. Currently, ceftiofur, a potent inducer of the *mecA* gene regulatory system, is used for the detection of heterogeneous MRSA populations [38]. Rostami et al. [39] compared the sensitivity and specificity of phenotypic reactions with the molecular detection of methicillin resistance. For the ceftiofur disk diffusion test, 100% sensitivity and specificity was obtained. In contrast, the disk oxacillin was 91.7 and 92.8%, respectively. The authors conclude that in the absence of molecular techniques, the ceftiofur disk is the best detector of MRSA, in accordance with the recommendation given by the CLSI [38].

The isolation and identification of *S. aureus* and MRSA, including selective enrichment and plating, followed by confirmation using biochemical testing and/or PCR assays, require 3–7 days approximately [20, 27, 40]. Therefore, the development of a rapid method for detection has become an important need in the microbiological analysis of samples especially when there is a potential risk of exposure for humans.

Real-time PCR technology has been used as an alternative to culture methods for the rapid detection of *S. aureus* and MRSA. However, most studies have been applied in clinical samples, and a few studies have used real-time PCR for the detection of MRSA in animals [35, 41] and meat [27, 36, 42].

The real-time PCR assay carried out by Velasco et al. [43] used a primary and a secondary enrichment of samples from meat-producing animals and retail raw meat in order to detect *S. aureus* and MRSA.

**Table 1** shows the agreement between the detection of *S. aureus* obtained by real-time PCR using primary and secondary enrichments compared with a conventional culture/PCR method.

Comparison within each sample type	No. samples	No. positive by culture/PCR method	No. (%) of samples*			kappa statistic
			Positive agreement (sensitivity)	Negative agreement (specificity)	Total agreement	
Real-time PCR first enrichment						
Animals	77	32	32 (100.0)	34 (75.6)	66 (85.7)	0.72
Meat	112	58	52 (89.7)	42 (77.8)	94 (83.9)	0.68
Deli meat	45	5	4 (80.0)	40 (100.0)	44 (97.8)	0.88
Real-time PCR second enrichment						
Animals	77	32	32 (100.0)	36 (80.0)	68 (88.3)	0.77
Meat	112	58	52 (89.7)	46 (85.2)	98 (87.5)	0.75
Deli meat	45	5	5 (100.0)	26 (65.0)	31 (68.9)	0.29

\*Positive agreement: number positive as the denominator. Negative agreement: number negative as the denominator. Total agreement: the sum of the positive and negative agreement divided by the total sample size within each sample type  
Data from Velasco et al. [43].

**Table 1.**

Raw agreement indices among conventional culture/PCR method and real-time PCR assay, with two-step enrichment procedure for the detection of *S. aureus* from animals and retail meat.

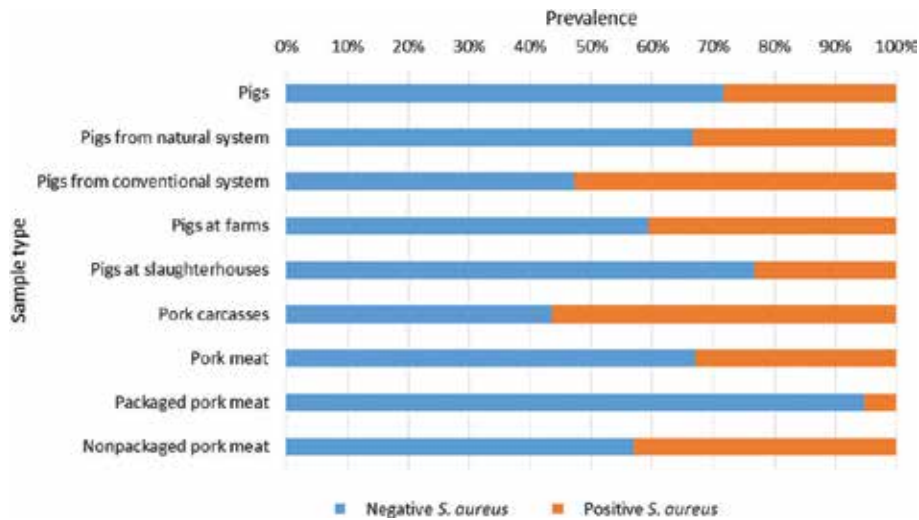
The kappa statistic for detection of *S. aureus* using the primary enrichment in real-time PCR was 0.68–0.88 (**Table 1**), which indicates a good agreement (substantial to almost perfect agreement) with the conventional culture/PCR method. Using the secondary enrichment and real-time PCR, the kappa statistic for detection of *S. aureus* was 0.29–0.77, resulting in a fair agreement when deli meat was tested. This is due to the significantly higher recovery of *S. aureus* from the secondary enrichment samples by real-time PCR. This observation suggests that small concentration of *S. aureus* could be missed when the primary enrichment alone is used in real-time PCR and that the recovery of potentially injured or nonviable strains appears to be enhanced when a secondary enrichment is used. Therefore, including a secondary selective enrichment step could improve the odds of detection of *S. aureus*.

The total agreement on the detection of the *mecA* gene between the real-time PCR using primary and secondary enrichment compared with a conventional culture/PCR method ranged from 86.7 to 98.7%. The kappa statistic for both enrichments in real-time PCR was 0–0.49. The  $k = 0$  indicates no agreement beyond that expected by chance, because the real-time PCR assay detected the *mecA* gene probably from bacteria other than *S. aureus*. This may be due to the fact that either coagulase-negative staphylococci or non-*S. aureus* species can also carry the *mecA* gene [44–46]. In this study, the DNA extraction was carried out from selective enrichments, which could contain DNA from other species that may carry the *mecA* gene.

The real-time PCR assay can decrease the total time for detection of *S. aureus* and the presence of the *mecA* gene in animal and meat samples. Using the two-step selective enrichment, the total time was <2 days by the real-time PCR method, compared with a total time of 6–7 days using the conventional/culture method. However, the presence of MRSA should be confirmed by a phenotypic and genetic method.

## 2.1 Prevalence of *Staphylococcus aureus* strains in the meat supply chain

**Figure 1** shows the prevalence of *S. aureus* in the pork meat supply in a study carried out in Chile [23]. The overall prevalence of *S. aureus* was 33.9%, with a higher prevalence on carcasses (56.5%) than pigs and pork meat ( $P \leq 0.05$ ).



**Figure 1.** Prevalence of *S. aureus* in the meat supply chain in Chile. Data from Velasco et al. [23].

The type of production system, natural or conventional, did not affect the prevalence ( $P > 0.05$ ). A higher prevalence of *S. aureus* might be expected in conventional pig production system than natural pig-farming system, due to a higher risk of spread of microorganisms between pigs by direct contact when animals are confined in a limited indoor area [47]. In addition, naturally raised pigs spend time outdoor and have access to larger pen areas, which can reduce infection intensity [48].

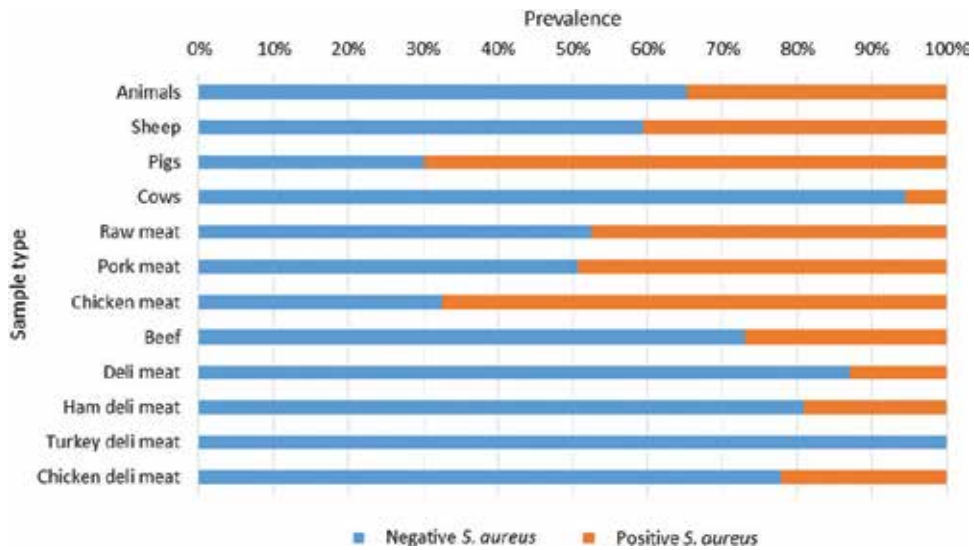
A higher prevalence of *S. aureus* was found in pigs sampled at farms (40.6%) than in pigs sampled at slaughterhouses (23.3%) ( $P \leq 0.05$ ). It might be thought that the prevalence of *S. aureus* in animals could be higher in slaughterhouses due to the risk of transmission during transportation or in resting pens, where animals from different herds could have contact [1, 49, 50]. In this study, nasal and skin swabs were taken after the stunning; however, live animals were rinsed by shower to remove external solid waste before the entrance to the process, which could reduce the impurities in the skin.

As expected, non-packaged meat was more contaminated (43.1%) than packaged meat (5.3%) ( $P \leq 0.05$ ), since non-packaged meat is more exposed to bacterial contamination, during processing and commercialization in meat counter at supermarkets and retail stores.

A higher prevalence of *S. aureus* in pigs and pork meat has been determined in other studies, with values ranging from 45 to 65% [20, 51, 52]. However, Tanih et al. [53] detected a prevalence of *S. aureus* in carcasses around 13.0%, which is much lower than the prevalence found in this study.

In addition, the *mecA* gene and the protein PBP2' were not detected in any sample from the pork meat supply. However, three *mecA*-negative *S. aureus* strains exhibited resistance to oxacillin and/or cefoxitin and were also negative for the *mecC* gene. Those strains were isolated from a skin, a carcass, and a packaged meat sample.

In a study carried out in Fargo, ND, USA [20], the overall prevalence of *S. aureus* was 37.2%. A prevalence of 34.7% was obtained in animals, with the highest proportion in pigs (50.0%) and sheep (40.6%) ( $P \leq 0.05$ ). A total of 47.6% of raw meat samples were contaminated with *S. aureus*, with the highest prevalence in chicken (67.6%) and pork (49.3%) ( $P \leq 0.05$ ). In deli meat, a prevalence of 13.0% of *S. aureus* was determined (Figure 2). Five pork samples (7.0%) were positive for MRSA.



**Figure 2.**

Prevalence of *S. aureus* in the meat-producing animals and retail raw meat in North Dakota. Data from Buyukcangaz et al. [20].

Other studies have detected a higher prevalence of *S. aureus* in sheep (57%) and cattle (14%) [54]; however, the prevalence in pigs has been reported to vary widely (6–57%) [55, 56]. The recovery of *S. aureus* in meat in this study was higher than previous studies (39.2 and 14.4%) [26, 51]. The prevalence of *S. aureus* in ham was 19%, which was considerably lower than the prevalence reported by Atanassova et al. [57].

In this study, MRSA was not detected in animals; however, a prevalence of MRSA in swine ranging from 6 to 71% has been detected previously [55, 58]. In pork meat, the prevalence of MRSA has also been reported to be less than 10% in other studies [27, 51, 52].

### 3. Characterization of *Staphylococcus aureus* isolated from the meat supply chain

#### 3.1 Molecular characterization of *Staphylococcus aureus* strains in meat-producing animals and retail meat

Different molecular techniques have been used for typing *S. aureus* strains, such as pulsed-field gel electrophoresis (PFGE) based on macro-restriction patterns of genomic DNA, multilocus sequence typing (MLST) that determines the allelic profile of seven housekeeping genes, and *spa* typing based on the sequencing of the polymorphic X region of the gene encoding the protein A. A greater discriminatory power has been found with PFGE than MLST, *spa* typing, and SCC*mec* typing [59]. However, a combination of two typing methods may be most accurate for strain differentiation [60]. Conversely, it is not possible to obtain a macro-restriction pattern for ST398 strains by PFGE using the restriction enzyme *SmaI*, since the DNA of those strains cannot be digested with *SmaI*, maybe due to the methylation of the *SmaI*-recognition site caused by a methylation enzyme [61]. There is a *Cfr9I* PFGE, a new tool for studying non-typeable ST398 strains, which use *Cfr9I*: a neoschizomer of the *SmaI* enzyme [62] and specific PCRs for detection of *S. aureus* ST398 [63]. Restriction patterns with the same number of bands represent the same strain, patterns that differ up to three fragments represent strains that are closely

related, and isolates that differ at four to six bands may have the same genetic lineage [64]. Nonetheless, BioNumerics software (applied maths) allows restriction patterns of PFGE images to be normalized and to be compared within and between local laboratories with high reproducibility. The band position tolerance and optimization must be set at 1.0 and 0.5%, respectively, and a similarity coefficient of 80% to define the clusters [65].

Different clones of methicillin-susceptible *S. aureus* (MSSA) and MRSA have been detected in humans, animals, and meat. The most common clones that cause CA-MRSA infections have been identified as USA300 and USA400 and those causing HA-MRSA infections as USA100 and USA200 [66]. Some sequence types (ST) of *S. aureus* strains have been determined, such as ST5, ST8, ST22, ST36, and ST45, among others, associated to HA-MRSA [67], ST30 and ST80 associated to CA-MRSA [68], and ST398 linked with animals [69, 70].

The SCCmec typing is based on the genetic characteristics of a mobile genetic element called staphylococcal cassette chromosome *mec* (SCCmec) that carries the *mecA* gene. The emergence of MRSA is due to the acquisition of the SCCmec element into the chromosome of MSSA strains. SCCmec elements are highly diverse and have been classified into types and subtypes as shown in **Table 2** [4, 71, 72].

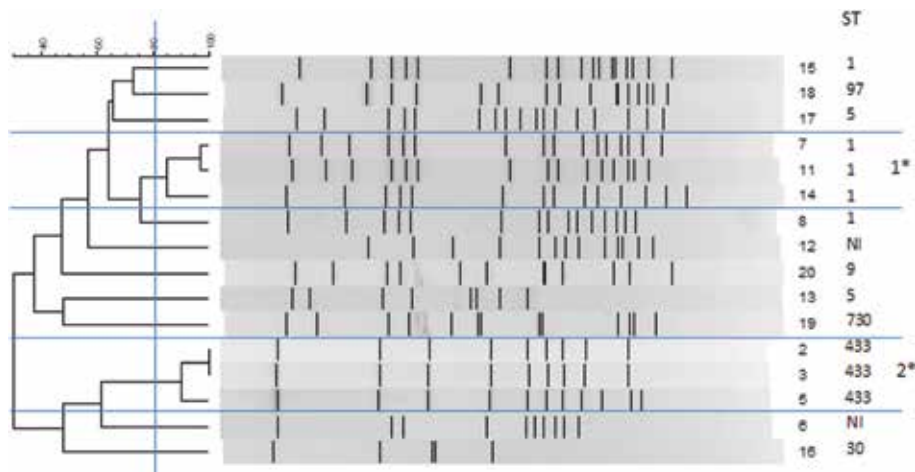
The *SmaI* macro-restriction fragment profiles of some *S. aureus* strains isolated from the pork chain supply in Chile are shown in **Figure 3**. The *S. aureus* strains were genetically diverse, identifying only two clusters: ST1 from meat and carcass and ST433 from natural raised pigs. Genetic diversity among *S. aureus* strains of swine origin could suggest different source of contamination at different stages of the pork chain supply.

In the study carried out by Buyukcangaz et al. [20], five pork samples were positive for MRSA, of which three were ST398 and two were ST5. The most common clones in sheep were ST398 and ST133, in pigs and pork both ST398 and ST9, and in chicken ST5. The clustering of isolates obtained by PFGE agreed well with the MLST types, i.e., the identical restriction patterns or patterns that differed at two to six bands had an identical ST. A total of 34 *S. aureus* isolated from animals

SCCmec type	mec gene complex	Structure of mec gene complex	ccr gene complex	ccr genes
I	Class B	IS1272- $\Delta$ mecR1-mecA-IS431	Type 1	ccrA1, ccrB1
II	Class A	mecI-mecR1-mecA-IS431	Type 2	ccrA2, ccrB2
III	Class A	mecI-mecR1-mecA-IS431	Type 3	ccrA3, ccrB3
IV	Class B	IS1272- $\Delta$ mecR1-mecA-IS431	Type 2	ccrA2, ccrB2
V	Class C2	IS431-mecA- $\Delta$ mecR1-IS431	Type 5	ccrC1
VI	Class B	IS1272- $\Delta$ mecR1-mecA-IS431	Type 4	ccrA4, ccrB4
VII	Class C1	IS431-mecA- $\Delta$ mecR1-IS431	Type 5	ccrC1
VIII	Class A	mecI-mecR1-mecA-IS431	Type 4	ccrA4, ccrB4
IX	Class C2	IS431-mecA- $\Delta$ mecR1-IS431	Type 1	ccrA1, ccrB1
X	Class C1	IS431-mecA- $\Delta$ mecR1-IS431	Type 7	ccrA1, ccrB6
XI	Class E	blaZ-mecA-mecR1-mecI	Type 8	ccrA1, ccrB3
XII	Class C2	IS431-mecA- $\Delta$ mecR1-IS431	Type 9	ccrA1, ccrC2
XIII	Class A	IS431-mecI-mecR1-mecA-IS431	Type 9	ccrC2

Adapted from Aguayo-Reyes et al. [4], Wu et al. [71], and Baig et al. [72].

**Table 2.**  
 Genetic structure of the different SCCmec types described in *Staphylococcus aureus*.



**Figure 3.** Dendrogram showing the genetic similarity and sequence types (ST) of *S. aureus* isolates from pork production chain in Chile.

(sheep and pigs) and from pork meat, which were ST398, could not be restricted with *SmaI* or *XmaI* during PFGE analysis. The high prevalence of ST398 indicates a potential risk for humans to acquire this emerging sequence type which has potential for causing infection. The MRSA isolates had the same MLST allelic profile and indistinguishable PFGE patterns than two MSSA strains, all obtained from pork. The close genetic similarity of the MRSA and MSSA isolates may be due to the acquisition of *mecA* gene by horizontal transfer of SCC*mec* from MRSA strains to MSSA lineages [1, 22, 73, 74].

In addition, contamination of meat with *S. aureus* strains from animals and humans could occur during slaughtering or processing. In fact, the genetic relatedness between *S. aureus* strains ST9 from pigs and pork meat may suggest the possible contamination of meat during slaughtering [20], and the genetic similarity between clones isolated from humans and meat suggests the spread of *S. aureus* into the food chain supply [75].

### 3.2 Antimicrobial resistance in *Staphylococcus aureus* from meat-producing animals and meat

Methicillin and other  $\beta$ -lactam antibiotics affect the cell wall synthesis in gram-positive bacteria inhibiting the last stage of the peptidoglycan synthesis called transpeptidation. During the transpeptidation the linkage between N-acetylmuramic acid and the cell wall takes place, catalyzed by transpeptidases and carboxypeptidases, called penicillin-binding proteins (PBPs). These proteins are able to bind penicillin in their active sites through a covalent bond between a serine and the  $\beta$ -lactam ring, resulting in the inhibition of the transpeptidation [76].

Methicillin resistance in *S. aureus* is primarily mediated by the production of an altered penicillin-binding protein, PBP2' (also called PBP2a), encoded by the *mecA* gene, which is carried on the staphylococcal cassette chromosome *mec* (SCC*mec*). This protein has a lower affinity for  $\beta$ -lactam antibiotics, resulting in a normal cross-linking of peptidoglycan strands during cell wall synthesis [77].

Some studies have isolated *S. aureus* strains from humans and livestock that are phenotypically resistant to methicillin, but they do not harbor the *mecA* gene. The phenotypic methicillin resistance has been associated with variations of the *mecA* gene, such as the *mecA*<sub>LGA251</sub> renamed as *mecC* [7, 78], the *mecB* gene [6], and others



that are not as well-known [75]. The *mecC* gene is located on the staphylococcal cassette chromosome *mec* type XI (SSC*mec* XI) and exhibits 70% sequence homology with the *mecA* gene [7, 79, 80]. Additionally, MRSA lacking the *mec* genes (MRLM) may have uncommon phenotypes, such as the  $\beta$ -lactamase hyperproduction (BHP), which partially hydrolyzes the  $\beta$ -lactam ring, usually known as borderline oxacillin-resistant *S. aureus* (BORSA), with an intermediate resistance level to oxacillin [81]. Different nucleotide mutations in *pbp* genes, the *pbp4* promoter, and genes involved in penicillin-binding protein 4 overproduction have also been associated with MRLM, called as modified *S. aureus* (MODSA) [9, 81, 82].

In March 2017, Schwendener et al. [83] reported a new *mec* gene called *mecD*, which confers resistance to all  $\beta$ -lactams antibiotics, including anti-MRSA cephalosporins, ceftobiprole, and ceftaroline. The gene was found in strains of *Micrococcus caseolyticus* isolated from bovines and canines. Alarmingly, the *mecD* gene was in an island of resistance associated with a site-specific integrase, which implies a risk of transmission by horizontal gene transfer to other species.

Other *S. aureus* strains with significant importance have also been detected in the meat supply chain, such as multidrug-resistant (MDR) *S. aureus*, which exhibit resistance to at least three classes of antibiotics [22].

Another mechanism of resistance to  $\beta$ -lactam antibiotics is the production of the enzyme  $\beta$ -lactamase, which hydrolyses the  $\beta$ -lactam ring resulting in the inactivation of the antibiotic. This enzyme is encoded by *blaZ* gene located in a transposon element within a plasmid [84].

**Table 3** shows the resistance profiles of *S. aureus* strains isolated from the pork meat supply chain in Chile. A total of 16 profiles were observed, including 8 profiles

Antimicrobial resistance profile*	No. of subclasses resistant to	No. (%) of all <i>S. aureus</i> isolates with the specific profile		
		Animal N = 28	Carcass N = 12	Meat N = 15
PEN-KAN-ERY-CIP-TET	5	3 (10.7)		
PEN-CEF-KAN-ERY-TET	4	1 (3.6)		
PEN-KAN-ERY-TET	4	1 (3.6)	1 (8.3)	
PEN-ERY-CIP-TET	4	10 (35.7)	1 (8.3)	
PEN-KAN-ERY	3	1 (3.6)		
PEN-ERY-CIP	3	1 (3.6)		
PEN-GEN-QDA	3		1 (8.3)	
PEN-ERY-QDA	3			1 (6.7)
OXA-PEN-CEF-GEN-KAN	2			1 (6.7)
PEN-ERY	2	1 (3.6)		2 (13.3)
PEN-CIP	2	1 (3.6)		1 (6.7)
PEN-QDA	2			1 (6.7)
PEN-TET	2	1 (3.6)		
KAN-ERY	2			1 (6.7)
OXA-PEN-CEF	1		1 (8.3)	
PEN	1	1 (3.6)	7 (58.3)	7 (46.6)
Susceptible to all tested	0	7 (25.0)	1 (8.3)	1 (6.7)

\*OXA, oxacillin; PEN, penicillin; CEF, cefoxitin; GEN, gentamicin; KAN, kanamycin; ERY, erythromycin; CIP, ciprofloxacin; QUI/DAL, quinupristin/dalfopristin; TET, tetracycline [38].

**Table 3.** Antimicrobial resistance profiles of *Staphylococcus aureus* strains isolated from the meat chain supply in Chile.

of MDR (resistance to at least three classes of antibiotics) [26]. The most MDR *S. aureus* strains were isolated from pigs. Rubin et al. [85] determined a significant higher resistance to penicillin, erythromycin, and tetracycline in *S. aureus* of swine origin than other type of animals.

The less effective antibiotic was penicillin. The low effectiveness of penicillin could be due to the enzyme penicillinase that hydrolyzes the  $\beta$ -lactam ring and inactivates the drug [5].

Two *S. aureus* strains were both oxacillin- and ceftiofur-resistant, and one *S. aureus* strain exhibited only ceftiofur resistance. However, those strains were *mecA*- and PBP2'-negative. Currently, the ceftiofur disk diffusion method is used to detect methicillin resistance [38]; it is easier to interpret and has a higher sensitivity

Antimicrobial resistance profile*	No. of subclasses resistant to	No. (%) of all <i>S. aureus</i> isolates with the specific profile		
		Animal (n = 58)	Raw meat (n = 69)	Deli meat (n = 6)
ERY-PEN-TET-LINC-CHL-GEN-CIP-QUI/DAL	8		1 (1.4)	
ERY-PEN-TET-LINC-CHL-CIP-QUI/DAL	7		1 (1.4)	
ERY-PEN-TET-LINC-CHL-STR	6	2 (3.4)		
ERY-PEN-TET-LINC-KAN	5		1 (1.4)	
PEN-TET-LINC-CHL-STR	5	1 (1.7)		
PEN-TET-LINC-GEN	4	1 (1.7)		
PEN-TET-LINC-KAN	4		1 (1.4)	
PEN-TET-LINC-STR	4	2 (3.4)		
ERY-PEN-TET-LINC	4	1 (1.7)	13 (18.8)	
PEN-TET-LINC	3	22 (37.9)	1 (1.4)	
PEN-LINC-STR	3	1 (1.7)		
ERY-PEN-LINC	3		2 (2.9)	
ERY-TET-LINC	3		5 (7.2)	
PEN-LINC	2	4 (6.9)	1 (1.4)	1 (16.7)
PEN-TET	2	12 (20.7)	2 (2.9)	
TET-LINC	2	3 (5.2)		
ERY-LINC	2		3 (4.3)	
ERY-PEN	2		2 (2.9)	
LINC	1	1 (1.7)		
PEN	1	3 (5.2)	10 (14.5)	1 (16.7)
TET	1	3 (5.2)	4 (5.8)	
ERY	1			1 (16.7)
Susceptible to all tested	0	2 (3.4)	22 (31.9)	3 (50.0)

\*CIP, ciprofloxacin; CHL, chloramphenicol; ERY, erythromycin; GEN, gentamicin; KAN, kanamycin; LINC, lincomycin; QUI/DAL, quinupristin/dalfopristin; PEN, penicillin; STR, streptomycin, TET, tetracycline. Data from Buyukcangaz et al. [20].

**Table 4.** Antimicrobial resistance (AR) profiles of *Staphylococcus aureus* and methicillin-resistant *S. aureus* (MRSA) isolates from animals and retail meat.

[86]. Those strains did not harbor the *mecC* gene; therefore, they could carry other variations of the *mecA* gene that are not as well-known [75, 78, 82] or could present uncommon phenotypes such as BORSA [81, 87]. Therefore, the whole genome sequencing is always necessary to understand the mechanism of resistance.

The use of antimicrobial agents in pigs is an important risk factor for increasing the prevalence of MRSA, promoting the selective pressure, and enhancing the emerging and the spread of MRSA [88]. In Holland, a high prevalence of MRSA was detected in pigs, with a resistance to different antibiotics, suggesting the spread of MRSA strains within animals in the slaughterhouses [1].

**Table 4** shows the antimicrobial resistance profiles of the 133 *S. aureus* strains isolated from animals and retail meat in the study of Buyukcangaz et al. [20]. The most common resistance profiles in isolates were penicillin-tetracycline and penicillin-tetracycline-erythromycin, in animals and raw meat, respectively. Most of the *S. aureus* strains isolated from animals exhibited resistance to the same antimicrobials reported by other authors [89, 90]. Other authors have also determined a higher occurrence of resistance to penicillin, tetracycline, and erythromycin in *S. aureus* strains isolated from retail meat and different food samples [26, 91]. Penicillin resistance has been reported to spread rapidly among *S. aureus* strains being facilitated by plasmids and is the most frequently reported resistance detected in foodborne *S. aureus* [26].

The rate of MDR strains was 41.4%, in animals was 51.7%, and in meat 36.2% (n = 25). The MDR isolates were found in pigs, pork, and sheep. MDR isolates from pork were mainly ST398 (60%) and ST9 (30%). All MDR strains from sheep were ST398.

Five pork samples that were MRSA (three ST398 and two ST5) exhibited penicillin resistance and four MDR. In addition, most of the *S. aureus* isolates susceptible to all antimicrobial agents were obtained from chicken, of which 76% were ST5.

The AMR bacteria in animals have increased over time due to the frequent use of antimicrobial agents at the farm level [1, 89]. Therefore, controlling the use of antibiotics in farming could limit the risk of transmission of AMR pathogens among animals and to humans [90].

### 3.3 Characteristics of pathogenicity of *Staphylococcus aureus* strains in meat-producing animals and meat

*S. aureus* produces different virulence factors, including bacterial structures such as capsules and adhesins, and extracellular products, such as enzymes, with activity of coagulase, catalase, hyaluronidase, and toxins such as toxin  $\alpha$ , toxin  $\beta$ , toxin leucocidin, enterotoxin, exfoliative toxin, and toxic shock syndrome toxin. These virulence factors contribute to different stages of infection from adhesion of the pathogen to the surface, to invasion, causing toxic effects, tissue damage, and distal disease. The synthesis of these virulence factors is a highly regulated process, which contributes to the production of the different human or animal diseases [92, 93].

The main regulator of virulence gene expression is the *agr* operon, which functions through a quorum sensing mechanism. The locus is autocatalytic, controlled in a manner dependent on cell density through the production and detection of self-inducing peptides (AIP). The *agr* locus has two divergent transcription units, RNAII and RNAPIII, controlled by their promoters, P2 and P3, respectively [94]. This locus exerts a negative regulation on the adhesin molecules in the colonization stage of the host during the stationary phase. However, when a high load of the autoinducer peptide (*agrD* protein) is reached in the post-exponential growth stage, RNAPIII is activated and inhibits the expression of adhesion proteins, activating the expression

of extracellular enzymes and toxins ( $\alpha$ - $\beta$  hemolysins, lipases, proteases, etc.), virulence factors related to nutrient acquisition, survival and bacterial dissemination [95, 96].

In dairy, one of the main virulence factors is the formation of biofilms, which are structured consortia of bacterial cells that are immersed in a polymeric matrix consisting of polysaccharides, proteins, extracellular DNA (eDNA), lipids, and other macromolecules. The biofilms allow bacteria to adhere to inert or living surfaces, increasing their growth rate and survival in a hostile environment [97].

Enterotoxin-producing *S. aureus* strains may cause gastroenteritis and have a significant importance due to its detection in the meat supply chain. Five classical enterotoxins have been found in *S. aureus*, which are known as SE types (SEA to SEE) encoded by the *se* genes. However, in recent years, new SEs and SE-like toxins have been detected [26]. Since enterotoxins can resist heat treatment and low pH conditions that can easily destroy the bacteria, it is important to highlight the impact of the expression of enterotoxins by *S. aureus* on human health [25]. In the study carried out by Velasco et al. [23], only 1 *S. aureus* strain of a total of 23 strains isolated from pork meat samples was positive for enterotoxin B (SEB) determined by the reversed passive latex agglutination test and for the *seb* gene detected by PCR method. The SEB-producing *S. aureus* strain was isolated from a meat sample obtained from a butcher store and was non-packaged. Therefore, contamination of meat with food-borne *S. aureus* may occur in the meat supply chain, primarily in more exposed food, such as non-packaged meat.

#### 4. Conclusions

*Staphylococcus aureus* is present in the meat supply chain, and some emerging strains, such as MRSA, MRSA ST398, MRLM, MDR, and enterotoxin-producing *S. aureus*, have been detected in animals, meat, and humans.

The genetic similarity between *S. aureus* strains isolated from humans, animals, and meat suggests the potential risk of contamination of meat during processing or handling, the spread of emerging *S. aureus* strains into the food chain, and the potential transmission to humans.

Further research is needed to expand the knowledge and comprehension of the molecular characterization and the different mechanisms of AMR in *S. aureus*.

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#### Conflict of interest

The authors declare that there is no conflict of interest regarding the publication of this book chapter.

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# An Emerging Multidrug-Resistant Pathogen: *Streptococcus pneumoniae*

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## Abstract

*Streptococcus pneumoniae* (*S. pneumoniae*) has a multifaceted bond with its human host and causing several diseases in children and adults when host flexible immunity and bacterial acquisition factors allow them to invade essentially sterile spots, such as the middle ear spaces (causes otitis media), lungs (causes pneumonia), bloodstream (causes sepsis) and meninges (causes meningitis). In the early 1940s, management of pneumococcal infections used to be somewhat straightforward, and penicillin commonly was the antibiotic of choice. Soon after mainstreaming antibiotic usage, worldwide emergence of antibiotic resistance among *S. pneumoniae* isolates has changed this approach. Multiple factors, like prior antibiotic use, inappropriate usage of antibiotics especially in young age, and day care attendance are the most commonly identified risk features for the spread of penicillin resistance and other multiple-antibiotic resistance. Basic fundamental mechanisms of most pneumococcal resistances have been identified, several organizations like WHO, CDC, BSAC, EUCAST started campaigns for appropriate antibiotic use and also the introduction of pneumococcal conjugate vaccines have been recommended to limit the further emergence and spread of pneumococcal resistant.

**Keywords:** drug-resistant *S. pneumoniae*, World Health Organization, upper respiratory tract,  $\beta$ -lactam antibiotics, penicillin-binding proteins

## 1. Background

According to WHO, bacterial resistance to antibiotic drugs are now one of the most global events that threaten humanity; due to new resistant mechanisms acquired by bacteria that help them to evade both natural and chemical elimination systems that are, immune system and antibiotic drugs [1]. With the ability to acquire resistance, simple infections can create major clinical problems for different patients, leading to serious events that include death. Unfortunately, although warnings about the aimless use of antibiotic drugs have been made by medical experts since the 1940s, the expenditure of antibiotic drugs are still increasing [2]. This issue is not only related to certain countries like India and South Africa where antibiotics are available without prescriptions, but also worldwide [2]. This implies that restricted guidelines must be made by specialized health sectors in both hospitals and pharmacies. Not only that but also generating a public awareness forum

where people around the world are educated about the dangerousness of misusing antibiotic drugs. However, if increased consumption of antibiotics continues, doors for bacteria are going to be open, permitting them to enter an adaptive phase where mutations and among other things can take place; leading to deleterious consequences [3]. Indeed, the world today must reform the way antibiotics are being prescribed and utilized; not doing so, will impose a fast-rising threat which can be slowed down if certain behavior changes like a simple hand washing are applied [1]. Nevertheless, researchers in this field are facing a wide range of challenges which led to a major decrease in the discovery and development of new antibiotics; due to the widespread use of these drugs which have led to difficult new resistant bacteria families to appear [4]. This can be illustrated by looking back in time, for instance, approximately 47 new antibiotics were developed collectively in the period from 1983 to 2002, while from 2003 to 2012 almost seven new drugs only were developed [4]. This shows how close we are to reaching a post-antibiotic era where fear and trepidation from the simplest injuries and common infections are once again established. Therefore, the science community must come together and set up a focused system where only life-threatening resistant bacterium is targeted in order to save major resources and develop better outcomes.

On one hand, we should also not forget to monitor and adjust the public behavior towards this topic, as it is the major fuel to this crisis. On the other hand, if this threat is left without a serious action, an estimation of nearly 10 million people will die every year in 2050 due to antimicrobial resistance, not to mention the huge cost burden with over 100 trillion USD [5]. In this chapter, we aim to establish a comprehensive understanding of defense mechanism of certain worrying and life-threatening bacteria (*Streptococcus* spp.) that have mastered new maneuvers to evade the immune system and antibiotic drugs; causing multiple of diseases that are hard to treat, and to investigate its impact on patients clinically. Not only that but also to scrutinize the general defects that allowed pathogens like bacteria to survive and conquer the human body, and to explore the drugs that used to fight such bacteria but eventually failed to do so. Under these circumstances, doors of opportunities are going to be open for researchers to grasp the most important knowledge that they need in order to innovate new ideas, to create new treatments and methods to minimize the risks of this crisis. For this reason, the scope of this chapter is going to be mainly focused on the problem of certain worrying bacteria that were categorized and prioritized by WHO.

## 2. Insights into antimicrobial emergence

It is well-known to scientists that bacteria are one of the cleverest creatures that can not only generate new methods continuously to evade the immune system and antibiotic drugs, but also adapt to various situations to ensure its survival and growth. By knowing that, it is important to explore their mechanism in an attempt to have a better understanding of how they work and function. However, it would make sense to direct all efforts to certain worrying bacteria that are resistant by prioritizing it according to certain criteria. To do so, WHO has published a global priority list of resistant bacteria to antibiotic drugs in order to facilitate a path that will guide researchers all around the world where the urgency of finding new treatments is vital [6]. With the help of expert opinion and evidence-based data WHO-global priority pathogens list developed a multi-criteria decision analysis (MCDA) technique for prioritizing the research and development of new and effective antibiotic treatments. Following steps has been taken to set prioritization: (1) selection of antibiotic-resistant bacteria to be prioritized; (2) selection of criteria

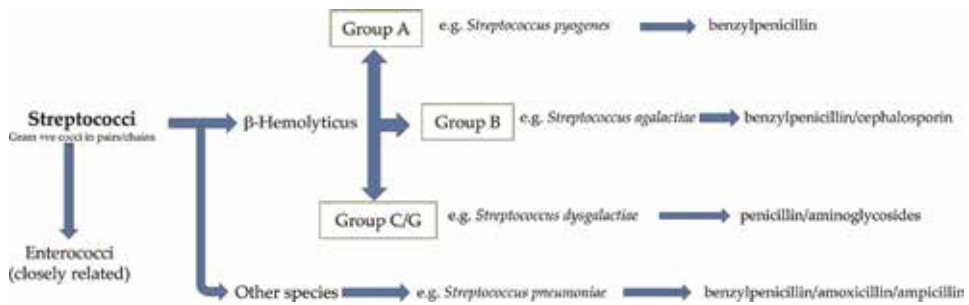
for prioritization; (3) data extraction and synthesis; (4) scoring of alternatives and weighting of criteria by experts; and (5) finalization of the ranking of pathogens. This list was created with the help of specialists all around the world and contains 12 most dangerous resistant bacteria families organized based on where exigency of new treatments is needed. The first three were set as a **critical priority**, and those are *Acinetobacter baumannii*, CR, *Pseudomonas aeruginosa* CR and *Enterobacteriaceae* 3GCR [6]. The second six were set as **high priority**, and those are *Enterococcus faecium* VR, *Staphylococcus aureus* MR&VR, *Helicobacter pylori* ClaR, *Campylobacter* FQR, *Salmonella* spp. FQR and *Neisseria gonorrhoeae* FQR [6]. The last three were set as **medium priority**, and include *S. pneumoniae* PNS, *Haemophilus influenzae* AmpR and *Shigella* spp. FQR [6]. It is important to say that WHO has clearly pinpointed that *Mycobacterium tuberculosis* was excluded from the list because it has been reported worldwide as a priority and other initiatives are already devoted to finding new treatments for *Mycobacterium tuberculosis* [7]. In spite of that, those bacteria were selected based on 10 criteria, and those include “mortality, healthcare and community burden, a prevalence of resistance, a 10-year trend of resistance, transmissibility, preventability in the hospital and community settings, treatability and current pipeline” [8]. Each criterion was chosen by experts who have previous experience and knowledge, and evidence for those criteria was taken from various reliable sources; such as, systematic reviews of published literature and so much more. In the light of this, a one must bear in mind that sometimes establishing priorities have its drawbacks, which a large public forum like WHO should seek to abstain to avoid any wrongness that would rather cause a disaster; however, it is indeed an appreciated and understandable move as sometimes “the simplest messages are usually the most effective,” and it will eventually help in addressing bacteria in a proper manner [9]. Nonetheless, the goal here is not only to create new treatments, but rather bring multiple sectors like governments, pharmaceutical companies, and experts together to ensure a successful procedure to face this great challenge both by raising awareness of communities and encouraging research [10, 11].

Furthermore, to face a great challenge like bacterial resistance a one must have a tremendous knowledge about their defense mechanism and the way they behave towards facing obstacles that are immune system and antibiotic drugs, and we attempt to review the accessible evidence and assess the relative importance of pathogens, and the status of drug-resistance *S. pneumoniae*, and their mechanisms and evolution of resistance to the various antibiotics.

### 3. *Streptococcus pneumoniae*

#### 3.1 Classification, transmission, colonization and invasion

*S. pneumoniae*, is an important facultatively anaerobic Gram-positive cocc-shaped bacterium that occur in pairs or chains and surrounded by a polysaccharide capsule, belongs to Firmicutes phylum. Traditionally, classification based on their three distinctive patterns appear on blood agar, which are termed alpha (partial), beta (complete) and gamma (none) hemolysis. According to Rebecca Lancefield classification, the beta-hemolytic streptococci (BHS) species can be further classified by the cell wall carbohydrate [12]. Most of the BHS species are associated with human diseases, and are categorized under Lancefield Groups A, B, C and G. Group A and Group B are characterized by presence of antigen on particular species while Group C and G antigen occur on a small number of closely related species (collectively as termed “Group C/G”) [13] (**Figure 1**). *S. pneumoniae* (also known as



**Figure 1.**  
Classification of *Streptococcus* spp. and drug of choice for causative agents.

pneumococcus) is an opportunistic pathogen that colonizes the mucosal surfaces of the human upper respiratory tract (URT) and group in other species of streptococci. This microorganism survives and multiply in wet environments, colonize in respiratory tract, bloodstream, pleural fluid, peritoneum, surgical wounds and oropharynx secretions of infected individuals. It has also been shown to colonize the normally sterile site results in invasive infection. Despite the diversity of host sites of *S. pneumoniae* can survive for long periods on both dry and moist surfaces. Carriage of pneumococci in the nasopharynx is more common in young children. Carriage is generally asymptomatic; but it serves as the main source for invasive pneumococcal infections and also plays a role in transmission from person-to-person. Adherence is the main features that facilitate colonization in the host cells and tissues. Prerequisite factors (including influenza A virus and other bacteria) are required for *S. pneumoniae* to colonize and persist on the mucosal surface, after attaining of incubation duration sufficient transmission to occur. Nasal inflammatory response due to influenza A virus, that regulates the expression of pro-inflammatory chemokines, also upregulation of target epithelial receptors and damaged respiratory epithelium used for *S. pneumoniae* adherence and disintegrate the epithelium and that helps in providing nutrient. These combined effects of viral co-infection increase the susceptibility of the host to colonization of *S. pneumoniae* [14]. *S. pneumoniae* basically produced two enzymes, peptidoglycan-*N*-acetylglucosamine deacetylase and attenuator of drug resistance, that helps in the modification of their peptidoglycan and promote it resistant to the lytic effects of lysozyme, which are abundant on the mucosal surface of the upper respiratory tract [15]. Negatively charged capsular polysaccharides also aided *S. pneumoniae* access and attach to the surface of epithelial cells and avoiding entrapment in the nasal mucus [16]. *S. pneumoniae* also uses several surface components for binding, like virulence protein A & B, enolase, phosphorylcholine moieties on cell wall teichoic acid [17, 18]. The successful colonization of *S. pneumoniae* depends on their relationship with normal microbiota, which are very complex mechanism. Symbiotic relation with microbiota of nasopharynx is depends on competition or coordination in nature [19]. *S. pneumoniae* produces numbers of bacteriocins (pneumocins) and other related microbial peptides which helps in inhibit the growth of another microbiota [20].

### 3.2 Identified risk features of *S. pneumoniae*

Over the last 15 years, *S. pneumoniae*, designated as a “red-alert” human pathogen, primarily because of its exceptional ability to survive in the community environment and remarkable ability to upregulate or acquire resistance to antibiotics. *S. pneumoniae* imposes a huge disease burden as the leading cause of wide



range of infections, including community-acquired pneumonia, meningitis and sepsis in children and adults and causes otitis media in infants and young children. As all of these diseases are “dead ends” in the life cycle of the organism, the bacterial factors that cause invasive diseases must also be adaptive for colonization and/or transmission. *S. pneumoniae* is an opportunistic pathogen that colonizes the mucosal surfaces of the human upper respiratory tract. Up to 27–65% of children and <10% of adults are carriers of *S. pneumoniae* and carriage involves a commensal relationship between the bacterium and the host [21]. Dissemination of this microorganism through local spread, aspiration or seeding to the bloodstream results in many invasive diseases (Figure 2). Globally, pneumonia considered as leading cause of death in younger child whose age is <5 years, and it attributed 1.6 million deaths annually. According to the World Health Organization, pneumococcal disease continues to cause the most deaths among vaccine-preventable diseases [22]. Persons at higher risk for invasive pneumococcal disease include children below 2 years of age, adults above 65 years of age, those with underlying chronic conditions (cardiovascular or pulmonary diseases, etc.), and also who are immunocompromised like, congenital immunodeficiency, human immunodeficiency virus infection, leukemia, or systemic corticosteroid use, etc. [23].

### 3.3 Mechanisms of antimicrobial resistance

An organism is considered resistant when its growth *in vitro* is not inhibited by an antimicrobial agent. The causative agents for resistance differ greatly but often linked to empirical antimicrobial therapy, that's include inappropriate

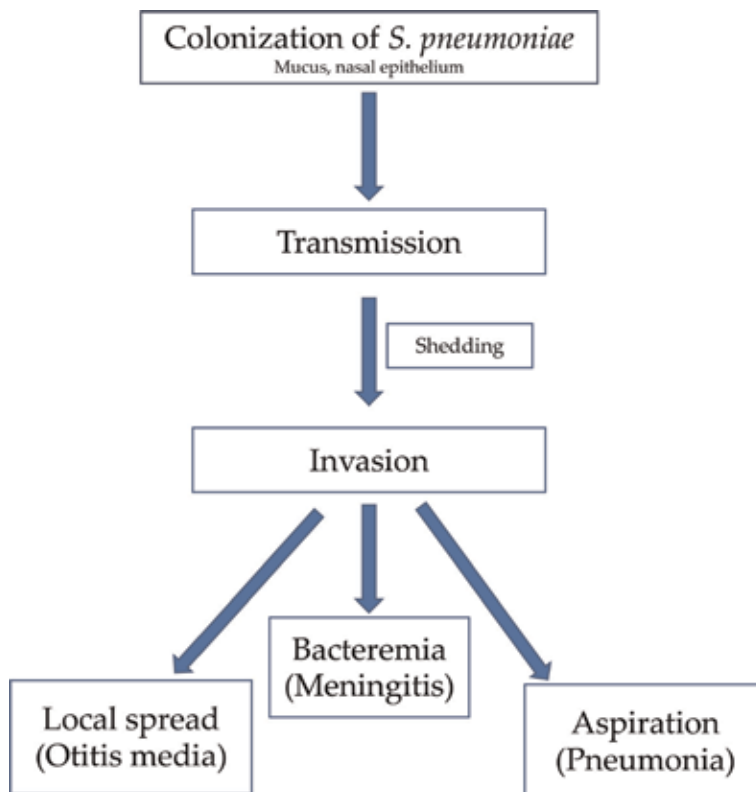
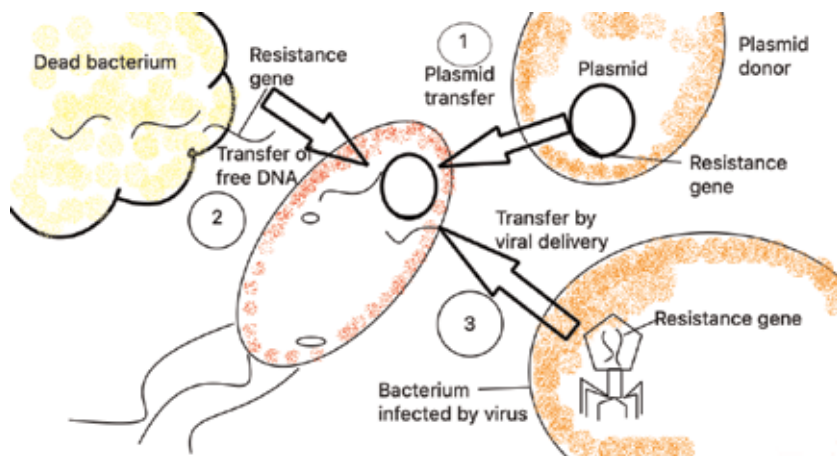


Figure 2.  
Pathophysiology of *Streptococcus pneumoniae*.

administration of subtherapeutic doses of antimicrobial agents, drug overuse or interrupted courses, and poor tissue-intake of the antimicrobial agent [24].

Antimicrobial resistance probably originated from horizontal resistance gene between bacterial species. These genes are acquired rapidly by the mechanism of plasmid promoted-conjugation, transformation or virus-induced transduction process, that all process contribute to the development of antimicrobial resistance. Due to these mechanisms some of the genes are inherited, some change to random DNA mutations in bacteria, and others are imported from related or distant bacteria [25] (**Figure 3**). Repeatedly use of antibiotic has been shown to be the strongest risk factor for the carriage and spread of resistant pneumococci, at both the individual and the community levels [26]. Evidence showed that antimicrobial resistance developed in *S. pneumoniae* may indication of transmission of the organism among patients and may be predictive of an impending outbreak of *S. pneumoniae* infections.

*S. pneumoniae* modify its genome through the uptake and incorporation of exogenous DNA from other pneumococci or closely related oral streptococci has facilitated the spread of antibiotic resistance and evasion of vaccine-induced immunity. Identification of resistant pneumococci based upon genetic features, culture-based phenotypic susceptibility methods are the gold-standard approach in clinical laboratories. Interpretations to evaluate antibiotic resistance in *S. pneumoniae* have been established by several organizations, such as WHO, CDC, Clinical and Laboratory Standards Institute (CLSI), the (BSAC), British Society for Antimicrobial Chemotherapy (BSAC), and the European Committee on Antimicrobial Susceptibility Testing (EUCAST). Culture of clinical specimens and subsequent antibiotic susceptibility testing to suggest treatment options are helps in recognition of antibiotic resistance in *S. pneumoniae*. The microbial identification and diagnosis of the infecting microorganisms are prerequisites for efficient treatment and hospital/community infection control and helps in control the spreading antibiotic resistance strains. These procedures are time consuming, laborious, and require well-trained technicians for correct interpretation of results. However, effective, immunological microbial identification methods have been developed for only a small number of bacterial species [27]. Molecular-based methods such as ribosomal RNA sequencing and MALDI-TOF are available and considered as powerful tool to



**Figure 3.** Bacterial acquiring resistance genes. Three major methods for resistant gene acquisition: (1) donor cells transfer plasmid containing one or more genes into another bacteria, (2) bacteria integrate gene through transformation process and, (3) a virus acquires a resistance gene from a bacterium and injects it into a different bacterial cell.

improve detection from clinical specimens [28, 29]. Biomolecular factors for antibiotics resistance have also led to the development of a variety of molecular assays to detect the presence of resistance genes in pneumococcal isolates (PCR) and also directly from clinical specimens (MALDI-TOF) [30, 31]. According to Metcalf et al., they developed a promising whole-genome sequencing (WGS) based “typing pipeline” for rapid automated predictions of pneumococcal serotypes, MICs, genotypes, and additional features [32]. Enhanced bioinformatic tools such as ARG-ANNOT (antibiotic resistance gene annotation) for querying WGS data greatly expand the depth of laboratory-based strain surveillance efforts and provides a periodically updated database for known accessory resistance genes to screen bacterial whole-genome sequence data [33].

Antibiotics have been a basis of pneumococcal disease treatment and either by decreasing or eradicating the bacterial load from host body [34]. As production of penicillin started in the mid-1940s, after that treatment of pneumococcal infections has relied heavily upon penicillin and other  $\beta$ -lactam antibiotics, which showed most effective antibiotics against this bacterium. In 1912, a first antimicrobial-resistant pneumococcal infections were documented when optochin resistance in experimental mice was described [35]. Five years later acquired optochin resistance was seen in humans [36]. In 1967, the first clinical isolate in a pediatric patient in Australia reported with reduced penicillin susceptibility [37]. During the period of 1970–1980, pneumococci resistant to penicillin, erythromycin, and trimethoprim-sulfamethoxazole (TMP-SMX) spread rapidly globally, including many developed nations [38]. Tetracycline, chloramphenicol and fluoroquinolone resistances were also documented at relatively low levels compared to those for the above-mentioned antibiotics [39]. More than 40% of isolates are penicillin resistant in several countries that lack significant conjugate vaccine coverage [40, 41]. Only few studies have been conducted on the acquisition of multidrug resistance however, these studies have found that extremes of age (i.e., <5 years and more than 65 years of age), previous use of  $\beta$ -lactam antibiotics by patients with noninvasive disease, antibiotic use in the last month by patients with nasopharyngeal colonization, population density, geographic location, and pneumococcal seven-valent conjugate vaccine (PCV7) serotype are all independent risk factors [42].

Typical therapy for the treatment of pneumococci disease (including invasive) are  $\beta$ -lactam antibiotics (benzylpenicillin, amoxicillin or ampicillin). Soon after mainstreaming antibiotic usage, multi-resistant pneumococcal clones emerged and disseminated worldwide. Penicillin resistant *S. pneumoniae* strains emerged globally, including macrolide and tetracycline, that elucidates the potential of this microorganism to respond selectively in environmental changes. Regulated mechanisms of innate resistance or acquisition of foreign determinants that have also brought *S. pneumoniae* as one of the organisms threatening the current antibiotic era. Nearly, 90 serotypes of *S. pneumoniae* have been identified like 6B, 9V, 14, 19F, or 23F were high level resistant to  $\beta$ -lactam, were first reported in children via nosocomial transmission [43]. In European Union countries, multidrug resistance was observed among isolates of serotypes 19A, 14, 1, 19F, and 23F [44]. In the United States, serotypes of 15A, 15B, 15C, 6C, 23A and 35B showed less multidrug resistance if the person had conjugate vaccine, taken 14 years ago. Multi-resistant serotype 19A isolates still showed the highest MICs for  $\beta$ -lactams, macrolides, lincosamides, tetracycline, and co-trimoxazole [45].

Another cause of  $\beta$ -lactam resistance is due to phenotypic expression of penicillin resistance alterations that results in modification of penicillin-binding proteins (PBPs), consequently reducing peptidoglycan synthesis. This loose affinity causes cell lysis and bacterial cell death [46]. As peptidoglycan serves important roles in maintenance of cell integrity, cell expansion, cell division, cellular diffusion and

surface anchoring. Gram positive bacterium pneumococcal peptidoglycan is composed of alternating glucosamine and *N*-acetylmuramic acid residues, directly cross-linked by transpeptidases between two *N*-acetylmuramic acid residues via short pentapeptides (L-Ala- $\gamma$ -D-Glu-L-Lys-D-Ala-D-Ala) between the L-Lys and the last D-Ala of an adjacent loop. Structural similarity of the  $\beta$ -lactam binds to the D-Ala-D-Ala terminus of the peptidoglycan stem peptide, that causes  $\beta$ -lactams irreversibly bind transpeptidases at their active site. Binding of  $\beta$ -lactams to the transpeptidase active site of these penicillin-binding proteins (PBPs) thus blocks cross-linking of mucopeptide chains to prevent cell wall synthesis [47]. Pneumococcal strain reveals reflective changes in corresponding key PBP genes, and a very wide range of “resistant” PBP gene alleles [48]. It has never been observed within pneumococcal strains how  $\beta$ -lactamases, introduced either mobile genetic elements or expressed from the core genome. Structural alterations that causes prevention of binding to analogs ( $\beta$ -lactams) of their normal substrates is expressed from the core genome serve their essential biosynthesis for resistant PBP [49].

Six PBPs genes have been described in *S. pneumoniae*. Three PBP alterations (PBP1a, 2x, and 2b) strongly associated with  $\beta$ -lactam resistance. All three of these PBPs share a penicillin-sensitive N-terminal transpeptidase domain that contains three conserved motifs: SerXXLys, containing the active-site serine that is bound (acylated) by PBPs; SerXAsn; and LysSer(or Thr)Gly [50]. In contrast to PBP2b and PBP2x, PBP2a has been associated with decreased susceptibility and higher MICs which causes  $\beta$ -lactam resistance [51]. PBP gene substitutions that appear to affect the polarity, charge distribution, and flexibility of the region neighboring the active site to decrease PBP-binding affinities for penicillin and/or other  $\beta$ -lactam classes in non-susceptible pneumococci [52].

As discussed earlier, PBP genes (*PBP1a*, *PBP2b*, and *PBP2x*) have been clearly demonstrated to be required for high-level  $\beta$ -lactam resistance in clinical isolates. In some instances, low-level resistance is also dependent upon proteins that are not directly targeted by  $\beta$ -lactams. Sometime due to different PBP allele combinations shows different  $\beta$ -lactam resistance phenotypes, and this complication leads to PBP genes from certain strains were not transform wild-type strains to the same high level of resistance [53]. One study showed that strains exhibiting identical PBP transpeptidase domain sequences exhibited penicillin MICs ranging from 0.25 to 2.0  $\mu\text{g/ml}$  [54]. Another cause for resistivity is due to unaltered *murM* genes. *murM* gene inactivation, effects in the lack of branching activity, subsequently the synthesis of peptidoglycan consisting of only linear mucopeptides. The finding suggested that MurM aminoacyl ligase appears to be required for penicillin resistance, that appeared a direct role of aminoacyl ligase branching activity in penicillin resistance [55]. One study also showed another type of resistant mechanism, peptidoglycan *O*-acetyltransferase encoded by the *adr* gene, attenuates PBP variant causes penicillin resistance [56]. Though, recent studies showed that most penicillin-resistant pneumococci are effectively treated by high doses of parenteral  $\beta$ -lactams.

#### 4. Conclusion

With the advent of more advanced laboratory techniques, including whole-genome sequencing, and continued, high-quality surveillance of antimicrobial resistance, we can continue to further expand our understanding of this area. Special program and campaigns run by various organization like, WHO, CDC, BSAC, EUCAST should continue to be in all countries to decrease not only the burden of disease but also antimicrobial-resistant pneumococci. Also more focus

on pneumococcal conjugate-vaccines because the new conjugate vaccines target these resistant serotypes, the implementation of use of these vaccines is expected to have an important role in limiting the spread of antibiotics-resistant *S. pneumoniae* strains.

### **Conflict of interest**

The author declares that there is no conflict of interest.

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*Staphylococcus* spp. and *Streptococcus* spp. have not only got pathogenic isolates, but also non-pathogenic isolates. *Staphylococcus* spp. and *Streptococcus* spp. that are Gram positive cocci are the main pathogens in several infections. Virulence factors such as usual and unusual surface proteins encoded by resistance genes are the main causes of pathogenesis. Multidrug-resistant pathogens that are the main causes of morbidity and mortality worldwide have the ability to synthesize a number of destructive enzymes encoded by resistance genes such as  $\beta$ -lactamases. Resistant pathogens such as methicillin-resistant *Staphylococcus aureus* (MRSA), *Streptococcus pneumoniae*, Group A, and Group B *Streptococcus* have emerged throughout the world. To eliminate these resistant pathogens that cause untreatable, acute, and chronic infections, different new antimicrobials must be developed and used. The goal of this book is to provide the latest information about the above topics.

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